

ELC Transition to Innovation

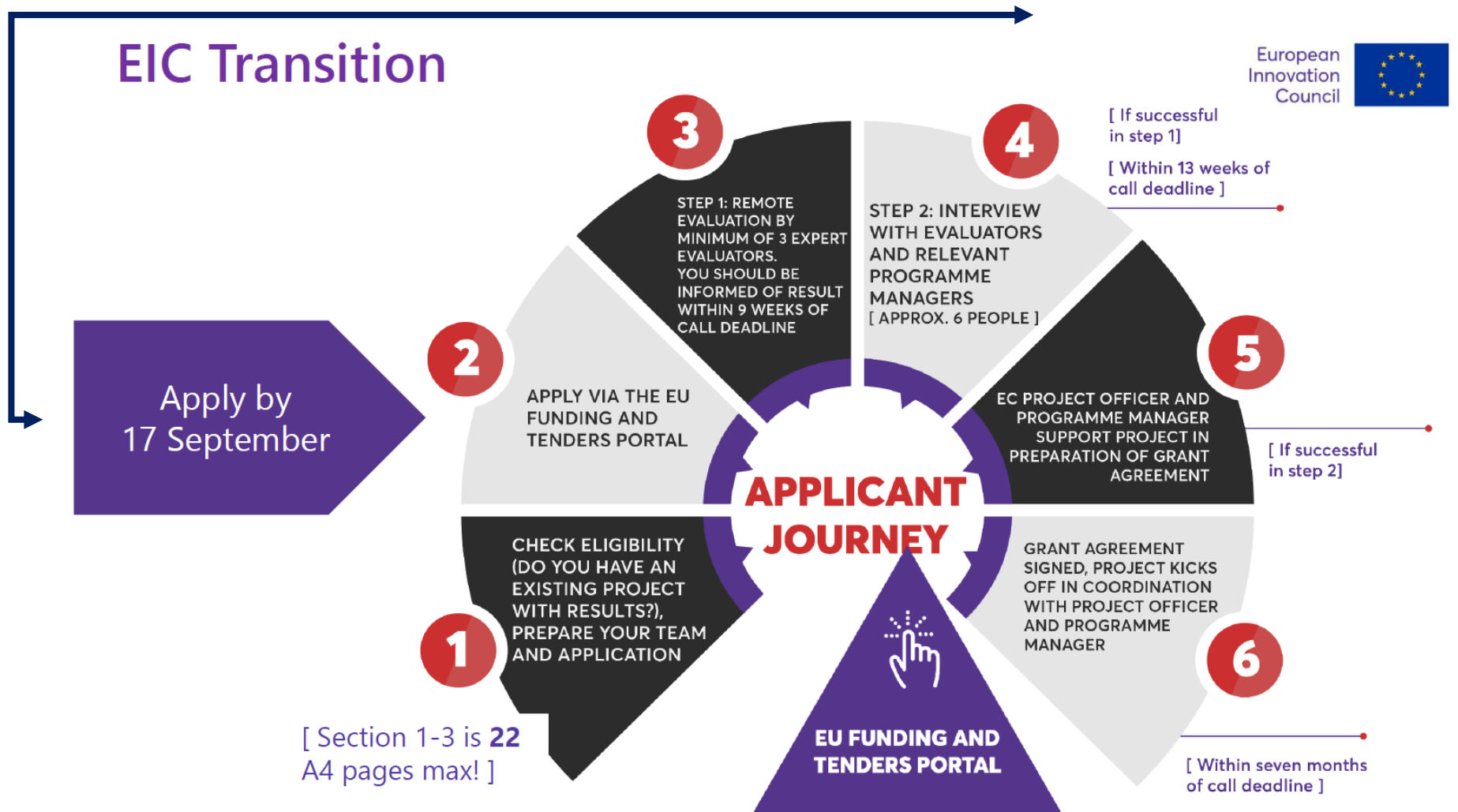
Call 2024: EIC Transition to Innovation (**OPEN = bottom-up**) **18/09/2024** (94M€)



- **RIA (100% funding)**
- **> 0,5 to < 2,5M€** per proposal, **22 pages, 12- 36 months.**
- **Lump sum budget.**
- **Application is restricted.** Possibilities to participate (**mono-beneficiary or small consortium**)
- **TRL 5-6, BRL1-3**
- **Annex 7** defines special IP clauses (EIC policy of Open Access and IP rights)
- **Annex 5** defines extra 50K€ ad-hoc grants for complementary portfolio activities or to explore commercialisation paths (EIC Booster Grants, **x3**).
- **Annex 3** to access to the EIC Accelerator via [Fast Track](#) or [Plug-in schemes](#).
- Tailor-made access to a wide range of [Business Acceleration Services](#) and matchmaking events.
- **1 cut-off in 2024: 18/09/2024.**

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 Tpl_Application Form (Part B) (HE EIC Transition).rtf
 Tpl_Detailed Budget Table (HE Lump sum).xlsm
 Tpl_Info on Clinical Studies (HE)_SEP.rtf
 Tpl_Letter of Ip Rights.rtf

Part B and Annexes

In this section you may upload the technical annex of the proposal (in PDF format only) and any other requested attachments.

Part B *  Upload 

Letter of Ip rights  Upload 

Essential info for clinical studies  Upload 

Detailed Budget Table *  Upload 

Administrative forms

Call: **HORIZON-EIC-2024-TRANSITION-01**
(EIC Transition 2024)

Topic: **HORIZON-EIC-2024-TRANSITIONOPEN-01**

Type of Action: **HORIZON-EIC**
(HORIZON EIC Grants)

Proposal number: **SEP-211053722**

Proposal acronym: **TEST**

Type of Model Grant Agreement: **HORIZON Lump Sum Grant**

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Section	Title	Action
1	General information	 Show
2	Participants	 Show
3	Budget	 Show
4	Ethics and security	 Show

Google Chrome 

In my/our capacity of representative(s) of (Name of the Institution/s owning the results)] as [coordinator / partner] of linked project #number Acronym],

- I/we confirm [representing [identify the right owners]] or [being] the sole [owner/s] or [rights holder/s] of the result[s] [name or short description of the result[s] and relevant IP] produced in the linked project [project #number Acronym] and needed for the execution on the [proposal, Acronym],

And

- I/we confirm the commitment to negotiate with the applicant/s [name/s] of the proposal (Acronym of the proposal) the fair, reasonable and non-discriminatory access to research results, including IPR, for the purpose of future commercial exploitation

Or

- I/we confirm the existence of necessary agreements between (Name/s of the Institution/s owning the results) and the applicant/s [name/s of the applicant/s exploiting the results] in the proposal Acronym of the proposal] to commercialise the results [name or short description of the result[s] and relevant IP resulting from project [project #number Acronym].



Horizon Europe Programme

Standard Application Form (HE EIC
TRANSITION)

Project proposal – Technical description (Part B)

Version 4.1
12 April 2024

EIC Grants: Information on clinical studies (EIC) V4.1 - 12.04.2024

INFORMATION ON CLINICAL STUDIES

(For calls that involve clinical studies, project participants must add this document to the application and upload it as separate annex to the proposal part B in the Submission System.)

Clinical studies have a number of methodological, operational and regulatory specificities. Information on these issues is crucial for evaluators to assess the scientific quality and operational feasibility of the proposal. The following set of section headings guide applicants to provide essential information on clinical studies in a standardised format.

Applicability:
For HE collaborative research and innovation:
Single-stage and stage-2 proposals: The use of this template is **mandatory** for single-stage or stage-2 proposals, if the application includes a clinical study. AND it concerns a topic including clinical studies.
For these topics, you will have the possibility to upload the completed template as a separate part of your application in the submission system.
Stage-1 proposals: In the limited frame of a stage-1 proposal, not all methodological details of clinical studies can be fully elaborated. Depending on the characteristics of the study, however, key aspects of clinical study have to be convincingly addressed already at stage 1. This template **cannot** be uploaded as a separate document at stage 1, but relevant aspects of this information should be integrated in part B of the stage 1 proposal template.
For HE IHI Joint Undertaking and Global Health EDCTP3 Joint Undertaking:
Single-stage and stage-2 proposals: The use of this template is **mandatory** for all clinical studies. You can upload the completed template as a separate part of your application in the submission system.
Stage-1 proposals: see under Horizon Europe collaborative research and innovation

For each clinical study performed within the scope of the proposal, essential information according to the below structure should be provided and compiled into one single document per proposal. Each section must be addressed **briefly and concisely**. In case one or more sections do not apply to a particular study, please provide a short explanation.
When the requested information is currently not available (e.g. a clinical study is planned for a later stage of the project and it will be based on or influenced by future results of other studies), the source and the collection of the relevant input should be described.
Information provided in this template does not need to be repeated elsewhere in the proposal but can be referred to.
There are no page limitations for this template, but explanations should be **as concise as possible**.

Horizon Europe Programme

Detailed lump sum budget

Generate the Excel file in macro-free format
Ready for the online submission system

Instructions

[Go to Beneficiaries and Affiliated Entities list](#) **! Double click buttons !** [Go to Work packages list](#)

This workbook enables you to present the detailed estimation of costs of your lump sum project and to calculate the lump sum breakdown per beneficiary and per work package. It must be uploaded as an additional document at the 'Proposal forms' step of proposal submission. This is mandatory. If you do not upload the Excel workbook, the proposal submission will be blocked.

You **must not change the structure** of this workbook (e.g., do not add, remove or modify rows, columns or tabs).

Comprehensive guidance and background on [lump sum funding under Horizon Europe](#) is available on the Funding & Tenders Portal.
To get started, we recommend to read the guide [Lump sum funding – what do I need to know](#). This includes details on how to complete this Excel workbook. The weblinks are provided in column E.

We recommend using Excel 2013 (Windows) / Excel 2016 (Mac OS) or more recent.

The only currency used in this workbook is EURO.

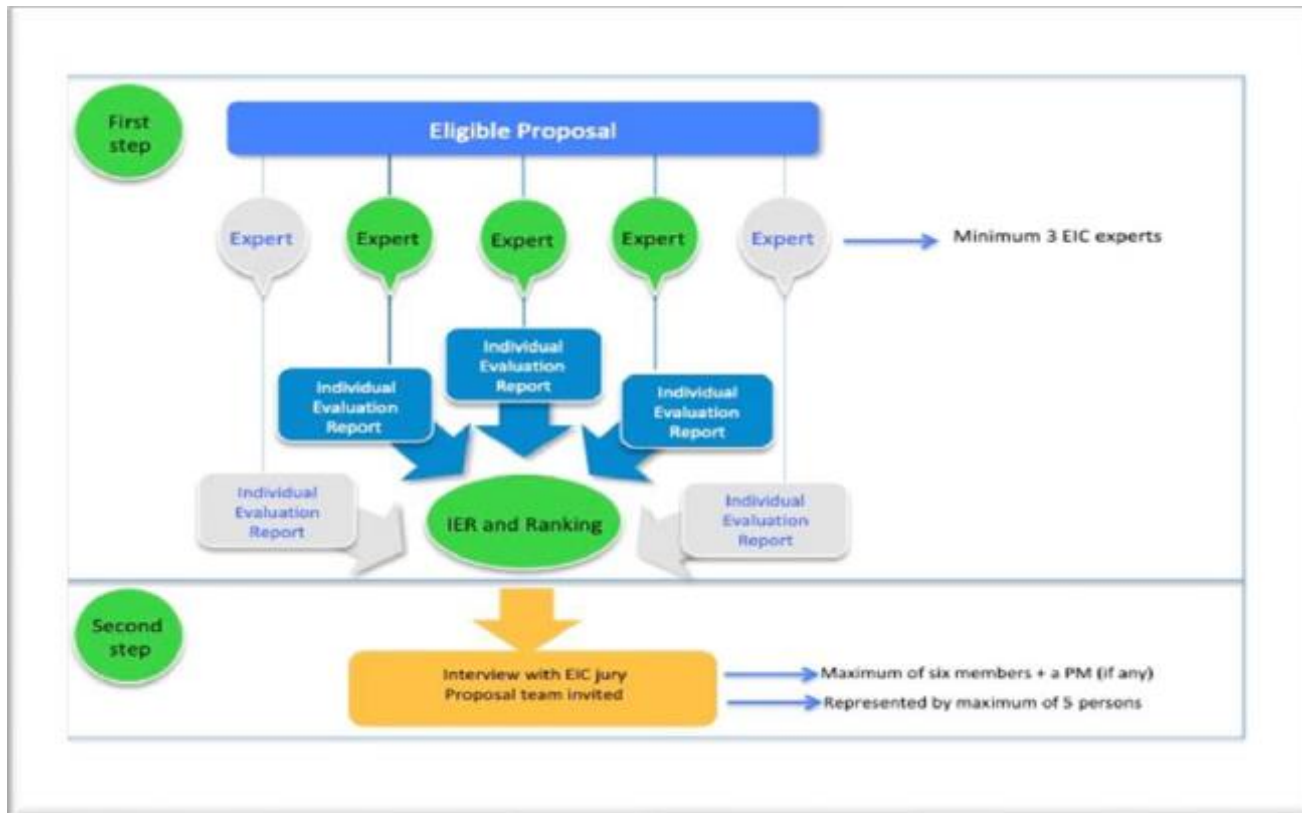
You **must complete** the following sheets: **'BE list' – 'WP list' – 'BE'** (one sheet for each beneficiary) – 'Depreciation costs' (if any). The appropriate number of individual beneficiary sheets ('BE') will be generated automatically with data from the 'BE list' and 'WP list' sheets.

[Instructions](#) [BE list](#) [WP list](#) [Lump sum breakdown](#) [Person-months overview](#) [Summary per WP](#) [BE1](#) [Depreciation costs](#) [Any cor](#) ... 

EIC Transition to Innovation

Call 2024: EIC Transition to Innovation (**OPEN = bottom-up**) **18/09/2024**

La evaluación



EIC Transition to Innovation

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La evaluación

❑ **Fase 1 (criterios de evaluación en WP) -expertos EIC, remota, resultados en 9 semanas-**

Table 4. Award criteria for EIC Transition Open at first evaluation step
Excellence (Threshold: 4/5)
Technological breakthrough: Does the technology have a high degree of novelty and higher performance compared to other technologies available or in development? Does the technology indicate high commercial potential?
Objectives: How credible and feasible are the objectives for the planned technology development and maturation? How credible and feasible are the objectives and KPIs for the planned business development process?
Methodology: Is the timing right for this technology/innovation (i.e., feasibility, technological readiness level, unique selling points)? ⁴⁷
Impact (Threshold: 4/5)
Credibility of the impacts: To what extent the expected commercial impact(s) described in the proposal are credible and substantial within the project and beyond (e.g., one or several sectors, setting new standards, etc.)?
Economic and/or societal benefits: To what extent does the proposed innovation have scale up potential including high capacity to gain or create new European or global markets? To what extent is the proposed innovation expected to generate positive impacts for the European Union, Member States or Associated Countries (e.g., strategic autonomy, employment etc.)?
Investment readiness and go to market strategy: To what extent the proposal and its activities contribute to make the technology and the team investment ready (including through IP protection and market validation)? Is there a well-defined and convincing go-to-market strategy and pathway, including what regulatory approvals may be needed (if relevant), time to market, possible business and revenue model?
Quality and efficiency of the implementation (Threshold 3/5)
Quality and motivation of the team: To what extent does the (project) team have the necessary high-quality capabilities and high motivation to move decisively towards market. To what extent do the applicant(s) have the necessary expertise to create a unique commercial value from the emerging technology and develop an attractive business and investment proposition?
KPIs and Milestones: Are both milestones and KPIs present, relevant and clearly defined (measurable, timed, comparable etc.) to track progress along the pathway towards objectives? Have the main risks (e.g., technological, market, financial etc.) been identified, together with measures to mitigate in order to achieve the project objectives?
Workplan and allocation of resources: How appropriate and effective is the allocation of resources (person-months and equipment) between work packages and between project partners? Is the number of project partners well justified?

- ✓ **Main List:** Comenzando con la propuesta con la puntuación más alta y en orden descendente, se seleccionará un grupo de las propuestas mejor clasificadas (por puntuación) que soliciten una financiación agregada igual a aproximadamente el doble del presupuesto disponible (**94M€x2**) serán invitadas a la Fase 2. **Pero:**

Aspectos de género

- Si por lo menos el 30% de las solicitudes son presentadas por PYMES o consorcios liderados por **mujeres** (ver definiciones en el Glosario del [WP 2024](#)), **solo las solicitudes de ese grupo serán invitadas a entrevistas.**
- Si < 30% de las solicitudes son presentadas por PYMESs o consorcios liderados por mujeres, **el grupo se ampliará a las siguientes solicitudes mejor clasificadas** (comenzando con la puntuación más alta en orden descendente y con al menos igual puntuación en el criterio de Excelencia) presentadas por PYMES o consorcios liderados por mujeres hasta alcanzar, si es posible, una composición del grupo de al menos el 30% de solicitudes presentadas por pymes o consorcios liderados por mujeres.

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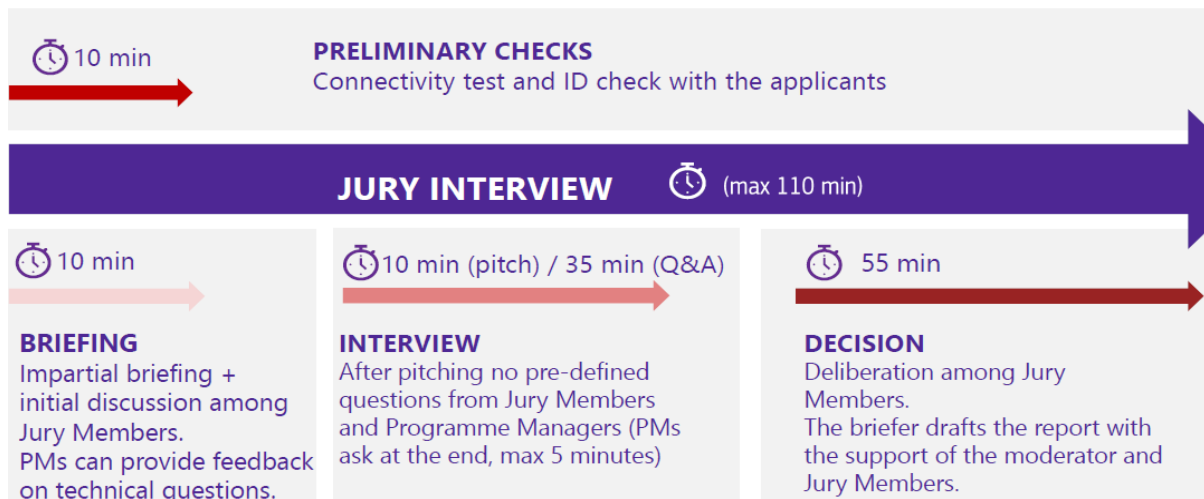
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La evaluación

❑ **Fase 2 (La entrevista in-situ, +9-14 semanas desde deadline, resultados en 4 semanas)**

Briefer	4-6+ 1PM Jury Members	Moderator	Programme Managers	Quality Controllers	Observers
- In depth review of 1-3 proposals - Presents facts - No need to be an expert on the topic - Drafts the report	- Up to 10 proposals per Jury - All jury members read all the proposals 6 JMs (min. 4) to run interviews	- Facilitates the evaluation process - Supports the applicants - Helps reaching the decision and supports drafting the report	- Provide feedback on technical and other matters - Can ask questions to applicants - Do not have voting rights	- Reviews reports submitted by the Juries - Ensure consistency and quality of the reports	- Observe the process - Remain silent and do not participate in the discussion nor the final decision

https://eic.ec.europa.eu/eic-communities/eic-programme-managers_en



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La evaluación (Sello de Excelencia)

- Si el solicitante es una PYME individual y cumple con todos los criterios de evaluación en la primera etapa, pero no es seleccionado para ser financiada, se le puede otorgar un Sello de Excelencia. Para ser elegible para recibir un Sello de Excelencia, el solicitante debe dar su consentimiento para que sus datos puedan ser compartidos con otros organismos de financiamiento (Formularios Administrativos).

- **Individual SME applicant invited to the interview** and not selected for funding will be awarded 'Seal of Excellence'.

- Applicants awarded the 'Seal of Excellence' will have access to **EIC Business Accelerator Services** and support to access other sources of funding.

- **Only** awarded to those applicants who give consent to **sharing** the data about their application with other eligible funding bodies



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La evaluación

❑ **Fase 2 (La entrevista in-situ, +9-14 semanas desde deadline, resultados en 4 semanas)**

Table 5. Award criteria for EIC Transition Open at second evaluation step (Jury interview)

Excellence (GO/NO GO)

Technological breakthrough: Does the technology/innovation – through its degree of novelty/disruptiveness and/or added value/value proposition for the users/customers – compared with competing technologies - have the scaleup potential including potential to create important new markets or significant impact in existing ones at European or global level?

Objectives: How ambitious yet credible and feasible are the objectives for the planned technology development and maturation? How credible and feasible are the objectives (and KPIs) for the planned business development process?

Methodology: Is the timing right for this technology/innovation (i.e., feasibility, technological readiness level, unique selling points)?

Impact (GO/NO GO)

Credibility of the impacts: Is the incipient proposed business model sound and promising? To what extent the expected commercial impact(s) described are realistic and substantial within the project and beyond?

Market and economic impacts: have potential markets/ use cases and users of the innovation been identified? Does the proposed innovation have high impact potential for the European Union, Member States or Associated Countries including high capacity to gain or create new European or global markets?

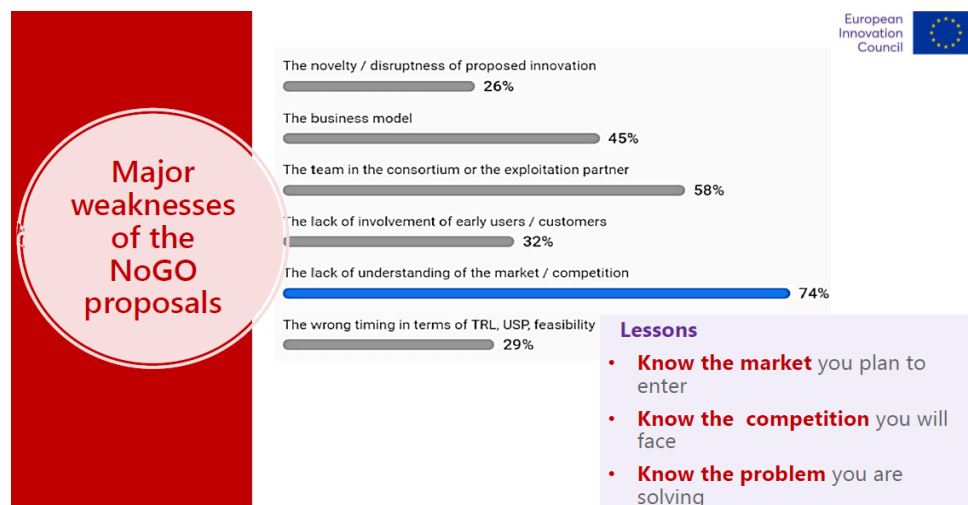
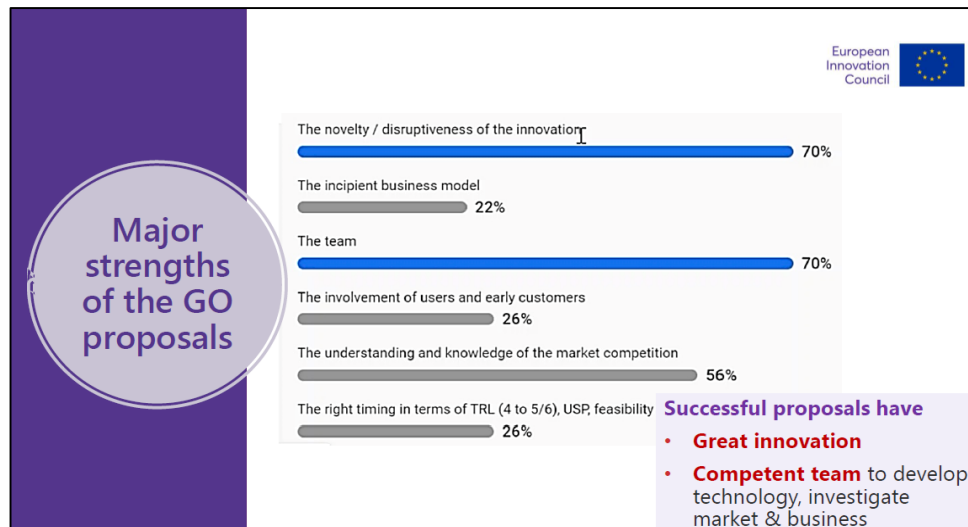
Investment readiness and go to market strategy: Are the plans to ensure the subsequent financing of the technology/ innovation (e.g., applying for EIC Accelerator, private investment, patenting/licensing, etc.) appropriate?

Quality and efficiency of the implementation (GO/NO GO)

Quality and motivation of the team: Does the team have the capability and motivation to mature the proposed technological innovation and implement market-related activities?

Risk assessment: Have the risk that might prevent the validation of the innovation in relevant application environment and/or market success been appropriately considered?

Workplan and allocation of resources: How appropriate and effective is the allocation of resources (person-months and equipment) between work packages and between project partners? Is the number of project partners well justified?



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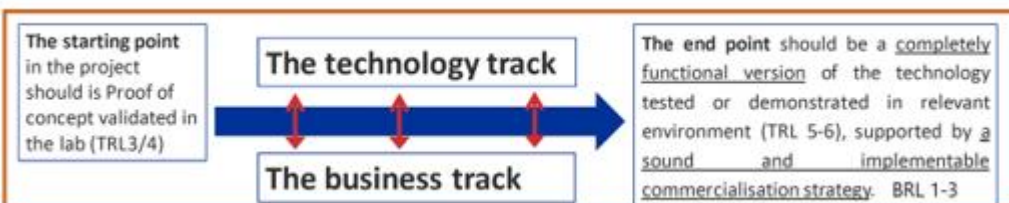
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Estadísticas de participación

	Submitted	Ineligible	Evaluated	Funded	Success rate
Cut off 2021	292 (221+71)	62	230 (173+57)	43 (31+12)	18.% (17.9 21.1)
1 st cut off 2022	165 (143+22)	21	144 (129+15)	19 (14+5)	13.2% (10.9 33.3)
2 nd cut off 2022	287 (236+51)	106	181 (157+24)	32 (25+7)	17.7% (15.9 29.2)
1 st cut off 2023	180 (131+49)	14	166 (122+44)	19 (14+5)	11.4% (11.5 11.4)
2 nd cut off 2023	257 (162+95)	18	239 (153+86)	27 (12+15)	11.3% (7.8 17.4)

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Individual o en consorcio

FROM TECH TO BUSINESS

- A **'Transition to Technology'** for collaborative project to further develop strategic and high impact technology up to TRL 5/6. This may require a multi beneficiary approach (e.g. SMEs, RTOs and potential users / customers).
- A **'Transition to Market'** for project led by an SME/Start-up that identifies an opportunity in the research results towards a specific market application. It may require, or lead to, a **license agreement** with the SME.
- A **'Transition to Entrepreneurship'** for project driven by entrepreneurial researchers to turn research results into a viable product by looking for a suitable business model or creating spin off company.

Las actividades a realizar deben incluir un "suitable mix" entre:

- ✓ **Desarrollo tecnológico y actividades de validación** (en entornos relevantes, con ejemplos de aplicabilidad concretos) en base a los resultados obtenidos en un proyecto anterior (el "proyecto de entrada" en TRL3-4) para alcanzar un TRL5-6.
- ✓ **Desarrollo comercial y de negocio:** (BRL1-3)
 - ❖ Prospección de mercado, propuesta de valorización, caso y modelo de negocio, perspectivas de crecimiento, protección de la propiedad intelectual, análisis de la competencia, etc.
 - ❖ Metodologías centradas en el usuario/cliente para incrementar las posibilidades de éxito comercial futuro de la innovación a introducir en el mercado (favoreciendo el feedback temprano)
 - ❖ Regulación, certificación y estandarización (cuando sea relevante)

Resultados

- ❑ Una tecnología concreta que se demuestre efectiva para su aplicación prevista (TRL5-6).
- ❑ Un modelo de negocio, su validación y un plan de negocio para su desarrollo en el mercado junto con medidas de protección de la propiedad intelectual (BRL1-3).

Al final del proyecto deberíamos estar listos para la siguiente etapa, que puede ser solicitar el **EIC Accelerator** (si es una pyme, incluidas las startups o spin-offs), **buscar otros inversores o fuentes de financiación, entrar en acuerdos de licencia o colaboración con terceros, u otras vías para el despliegue en el mercado.**



>0,5>2,5M€
12-36 meses

Información y enlaces de interés

IMPORTANT

EIC Community

EIC Transition Day

6 JUNE 2024

EIC Transition Day 2024

Calling all the EIC Transition beneficiaries! During the EIC Transition Day, to be held on 6 June, online, you will be offered the opportunity to learn more about Project Management and Support to the EIC Transition projects.

<https://eic.eisma.eu/community/events/eic-transition-day-2024>

Información y enlaces de interés

EIC Transition to Innovation

- EIC Transition: https://eic.ec.europa.eu/eic-funding-opportunities/eic-transition_en
- FAQs EIC Transition: https://eic.ec.europa.eu/eic-frequently-asked-questions_en#eic-transition
- EIC Transition to Innovation funded projects call 2021: https://eic.ec.europa.eu/news/commission-selects-first-eic-transition-projects-take-breakthrough-technologies-lab-real-world-2022-01-17_en
- EIC Transition to Innovation funded projects call 2022: https://eic.ec.europa.eu/news/european-innovation-council-selects-20-new-transition-projects-take-breakthrough-technologies-lab-2022-11-11_en
- EIC Transition to Innovation funded projects call 2023: https://eic.ec.europa.eu/news/european-innovation-council-transition-results-27-projects-selected-take-novel-technologies-lab-2024-03-05_en
- [List eligible Projects EIC Transition 2024](#) (25/04/24), [web](#)
- [Innovation Radar](#), [ERC Research Information System](#), [EISMEA Data Hubs](#), [EPO Deep Tech](#).

Información y enlaces de interés

ERA-Nets

FLAG-ERA asociada a Human Brain Project & Graphene Flagship



QuantERA asociada a Quantum Flagship



CHIST-ERA asociada a ICT



Consultas

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Información y enlaces de interés

Puntos Nacionales de Contacto EIC

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Centro para el Desarrollo Tecnológico Industrial (CDTI)

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Centro para el Desarrollo Tecnológico Industrial (CDTI)

Marta Marín (Punto nacional EIC Pathfinder/ Transition/Salud**)**

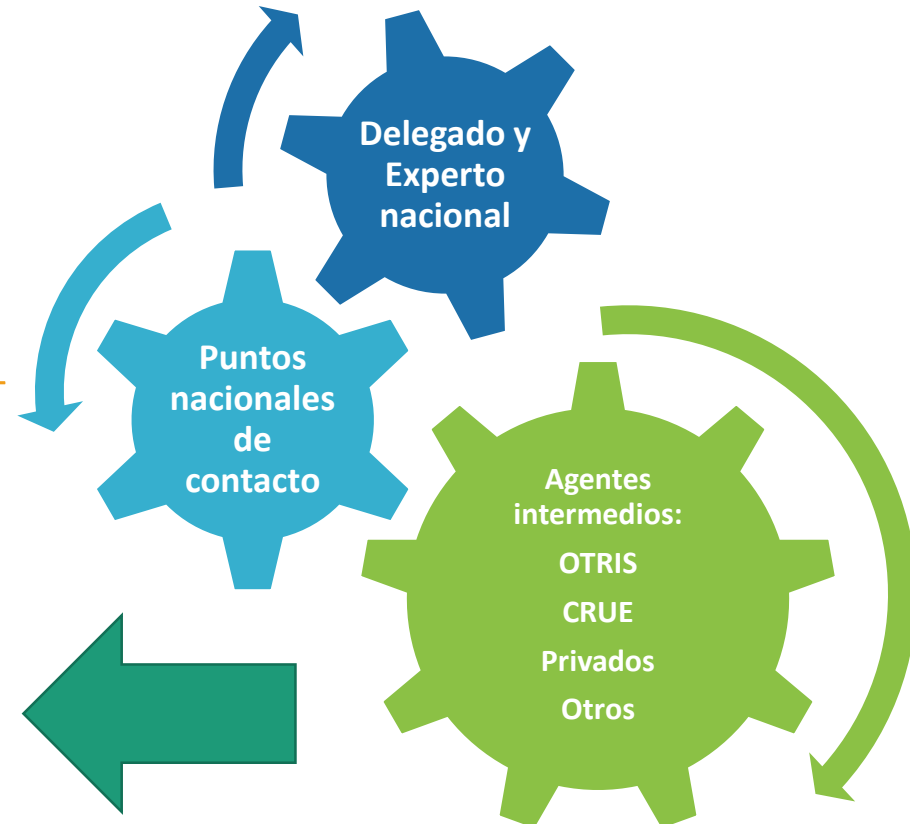
marta.marin@fecyt.es

Fundación Española de Ciencia y Tecnología (FECYT)

Pablo Fernández (Punto nacional EIC Pathfinder/Transition)

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Universitat Politècnica de Catalunya (UPC)



Webinario EIC Transition to Innovation



¡Muchas gracias por su atención!

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