

Advanced Grant 2024: nuevo modelo Lump Sum

Infoday Regional del Programa ERC
27 de mayo de 2024, Universidad de La Laguna



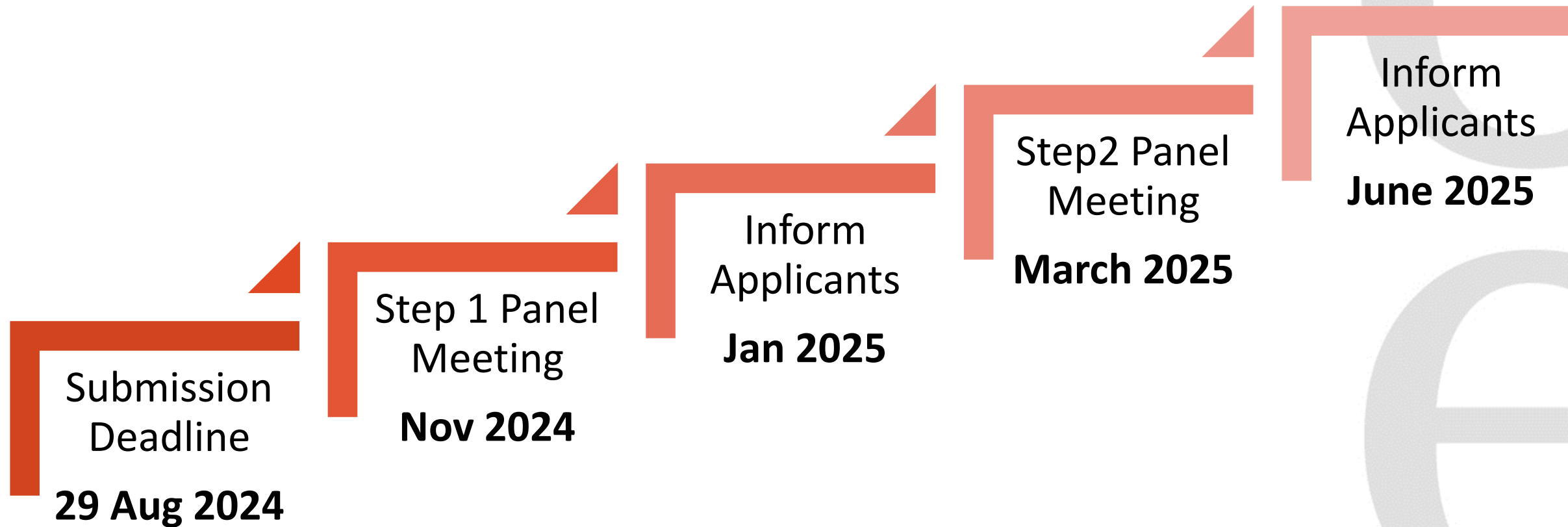
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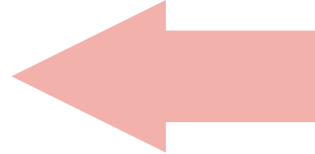
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IMPORTANT DATES “INDICATIVE EVALUATION TIMELINE”



A COMPETITIVE ADVANCED GRANT PI IS EXPECTED TO BE...

An active and established research leader with a track record of significant research achievements



Principal Investigators:

- list of achievements reflecting their track record.
- A short narrative describing scientific importance and the role played by the PI.

The peer review panels:

- unconventional research career paths
- particularly noteworthy contributions
- possible career breaks
- major life events

PROPOSAL STRUCTURE

The ERC full proposal = part B1 + part B2 + Part A*

Part B1 - pdf

Cover Page and summary (1p)

Extended Synopsis (5p)

Curriculum vitae +
Track-record (4p)

*Evaluated in Step
1*

Part B2 - pdf

(14p)

SoA & objectives

Methodology

*NOT evaluated in Step
1 (only in Step 2)*

Part A – online forms

A1 General Information

A2 Participants

A3 Budget: table + description (10.000c)

A4 Ethics and security

A5 Other questions

% Time commitment

Excluded Reviewers (up to 3)

(Declaration 10)

Annexes

HI support letter

PhD certificate

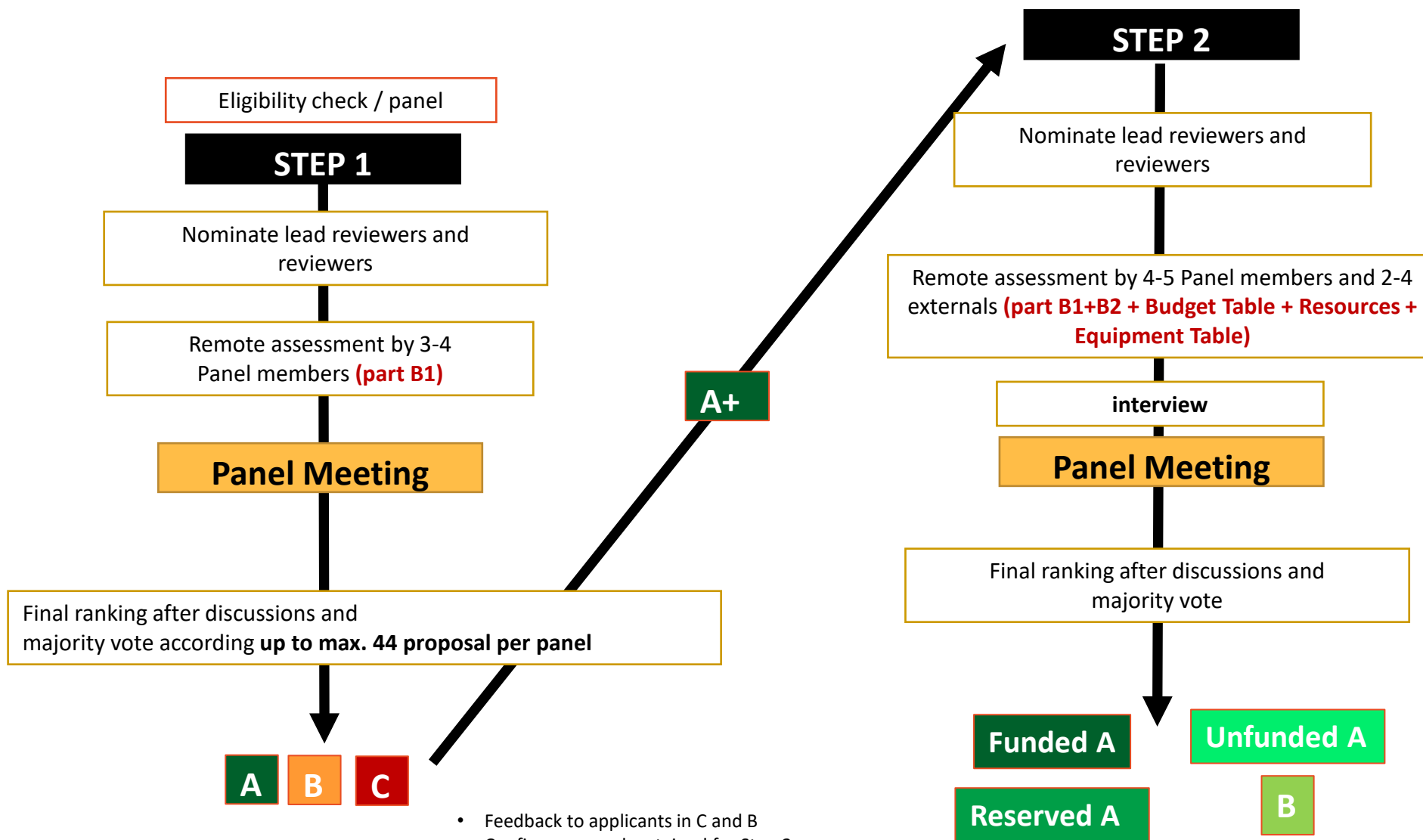
Ethics and security issues

Template Eligibility Extension

Equipment Table

- **One deadline**
- **2 steps evaluation process**

ERC 2024 EVALUATION PROCESS - INDIVIDUAL GRANTS



- Feedback to applicants in C and B
- Confirm proposals retained for Step 2
- Suggest external reviewers for A in Step 2

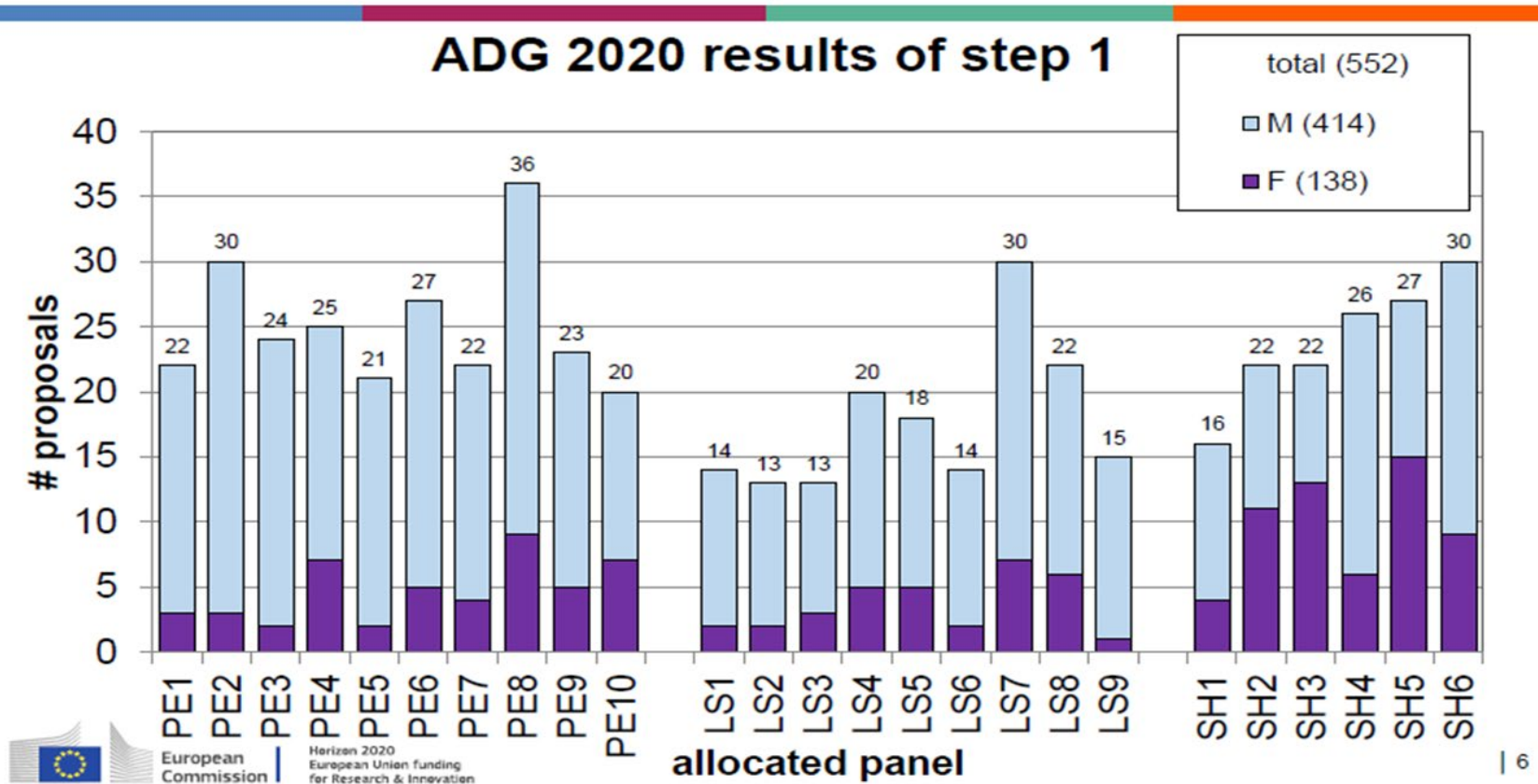
Ethics assessment

¿CÓMO AFECTARÁ LA LIMITACIÓN DE LAS 44 PROPUESTAS?

ADG 2020 Results of Step 1 By panel and gender



European Research Council
Established by the European Commission



LUMP SUMS IN THE 2024 ERC ADVANCED GRANT CALL (1/2)

- ✓ Proposals include a budget based on **estimated costs related to activities**
- ✓ Budget is assessed during evaluation (**resources justification and costs plausibility**)
- ✓ Lump sum amount **defined by project** (different for each project) and capped at funding scheme ceiling (2,5 mio + 1 mio additional funding)
- ✓ **1 single lump sum contribution for** the entirety of **the project** (broken down by beneficiary only – **NOT per work package**)

LUMP SUMS IN THE 2024 ERC ADVANCED GRANT CALL (2/2)

- ✓ Once the Lump Sum contribution is established, costs actually incurred are not relevant (**budget table (not included in the grant agreement) - full flexibility** (transfer between costs categories))
- ✓ EU contribution **paid on basis of activities completion**. Not linked to successful outcome
- ✓ Ex-post **audits only on proper implementation (not costs)** & compliance with non-financial obligations (e.g. ethics, procurement procedures, PI time commitments)
- ✓ Right for grant **portability** remains for Principal Investigators

PROPOSAL SUBMISSION - BUDGET

- Budget must only include costs estimates that are:
 - **Eligible** (same eligibility conditions as in actual cost model)
 - **reasonable, non-excessive** costs
 - in line with the **beneficiaries' normal practices** (Declaration 10)
- *‘For Lump Sum Grants with a detailed budget table: We understand and accept that the EU lump sum grants must be reliable proxies for the actual costs of a project and confirm that the detailed budget for the proposal has been established in accordance with our usual cost accounting practices and in compliance with the basic eligibility conditions for EU actual cost grants (see AGA - Annotated Grant Agreement, art 6) and exclude costs that are ineligible under the Programme. Purchases and subcontracting costs must be done taking into account best value for money and must be free of conflict of interest.’ This tick box is mandatory for all lump sum calls of the European Commission family.*

REPORTING/PAYMENT

- **80% pre-financing to ensure cash-flow**
- **1 scientific mid-term report to assess progress and deviations**
- **1 single payment at the end of the project**



CHANGES TO THE SUBMISSION FORMS - BUDGET TABLE

	PI			Senior staff			Postdocs			Students			Other staff			A. Total personnel costs/€
Beneficiary Short Name	Person Months	Total amount	Average monthly cost	Person Months	Total amount	Average monthly cost	Person Months	Total amount	Average monthly cost	Person Months	Total amount	Average monthly cost	Person Months	Total amount	Average monthly cost	
Participant X																
Participant Y																
Linked 3rd party X																
Linked 3rd party Y																
Total																

New cost fields for AdG 2024

- ☐ Person months
- ☐ Average monthly costs (automatic calculation)

B. Subcontracting Costs/€ (No indirect costs)	C.1 Travel and subsistence	C.2 Equipment	Consumables incl. fieldwork and animal costs	Publications (incl. Open Access fees) and dissemination	Other additional direct costs	C.3 Total other goods, works and services	C. Total Purchase costs/€	D.2 Internally invoiced goods and services/€ (No indirect costs)	E. Indirect Cost/€	Total Eligible Costs	Requested EU contribution /€
		Equipment costs (including major equipment under additional funding)									



All other cost categories remain the same

CHANGES TO THE SUBMISSION FORMS - EQUIPMENT DEPRECIATION TABLE (NEW ANNEX)

- Excel template will be a **mandatory attachment** to be uploaded at submission
- Guidance *to be provided* to list items for which several units may be requested (i.e., centrifuges, laptops)
- Guidance *to be provided* on how to encode fully capitalized costs items

Beneficiary's/affiliated entity's name	Short name of the asset	Purchase cost per item (a)	Depreciation time (months) (b)	Expected percentage of usage for the grant (c)	Expected use time during the grant (months, cannot exceed the duration of the grant) (d)	Estimated depreciation costs per item (cannot exceed the purchase costs) (a/b)*c*d	Comments (e.g. depreciation policy, grouped items, full capitalised costs, etc.....)
Example 1	Equipment 1	€ 100,000.00	60	100%	48	€ 80,000.00	
Example 2	Equipment 2	€ 10,000.00	36	100%	36	€ 10,000.00	
Example 3	Equipment 3	€ 50,000.00	60	50%	48	€ 20,000.00	

CHANGES TO THE SUBMISSION FORMS - NARRATIVE SECTION (NEW STRUCTURE)

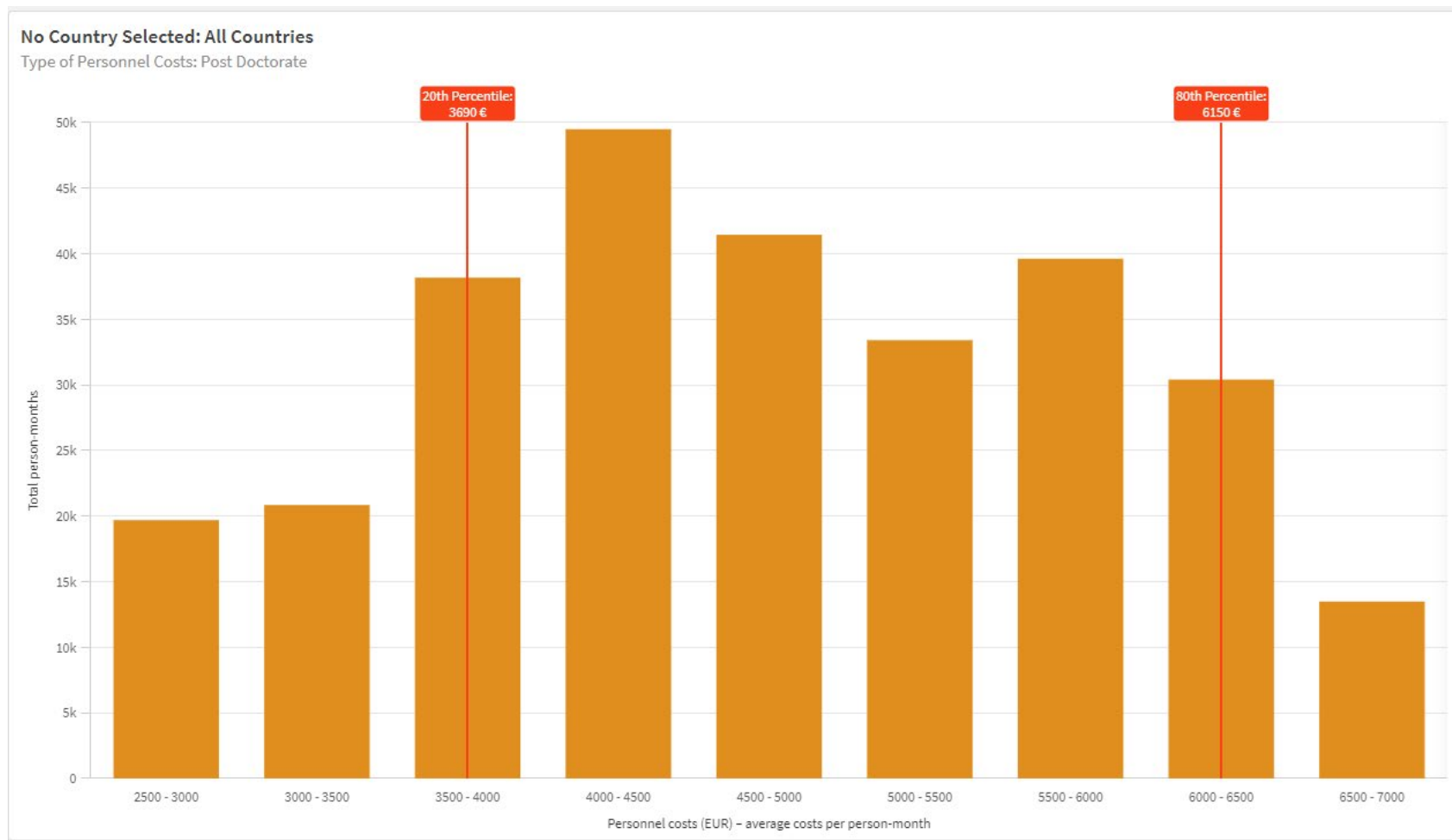
Section C. Resources	
A. Personnel	D. Internally invoiced goods and services
Maximum 2500 characters allowed	Maximum 1000 characters allowed
B. Subcontracting (if applicable)	Request for additional funding justification
Maximum 1000 characters allowed	Maximum 1000 characters allowed
C. Purchase cost (<i>Travel - Equipment - Consumables - Field work - Animal costs - Publications - Other additional direct costs</i>)	Funding from other sources
Maximum 3500 characters allowed	Maximum 1000 characters allowed

- Single box split in 6 sub-sections (text boxes) to provide a more structured format for PIs to justify
- Overall character limit to justify resources extended from 8.000 to 10.000 character

PROPOSAL EVALUATION – EVALUATION OF RESOURCES & COSTS REQUESTED BY APPLICANTS

- **Resources needed** for the project & **plausibility of cost estimates** are assessed during the evaluation
- **In-depth assessment of cost estimates** (i.e. **reasonable and non-excessive**) **only at evaluation** to define Lump Sum contribution (sound financial management):
 - **eligibility** of costs
 - **reasonable, non-excessive** costs

PROPOSAL EVALUATION – ERC DASHBOARD (PERSONNEL COSTS)



ERC Dashboard will be made available for applicants and panel members

In line with RTD dashboard, except:

Based on **ERC historical data** - actual personnel costs paid since 2018 (to be updated for each Advanced Grant call)

Displayed **by country and staff category**

ERC LUMP SUM FUNDING – REPORTING/PAYMENT (2)

• **Lump sum fully paid when all the work has been carried out, and also if**

- All essential tasks completed, and/or
- Equivalent tasks have been carried out, and/or
- Deviations have been duly justified.

Otherwise partial completion:

Lump sum **paid partially** in line with the degree of completion (contradictory procedure) **at the end of the project**

ERC LUMP SUM FUNDING – EX-POST AUDITS

- **Only proper implementation (not on costs) & compliance with non-financial obligations** (e.g. ethics, procurement procedures, PI time commitments)
- **Record keeping:**
 - Technical documents
 - Publications, prototypes, deliverables
 - Documentation required by good research practices (e.g. lab books)
 - Document proving implementation in line with DoA

ERC LUMP SUM FUNDING - SIMPLIFICATIONS

- **Major reduction of administrative burden:**

- Removes all obligations on costs reporting (incl. personnel costs)
- No more time-sheets or other document supporting actual costs incurred
- No more financial audits

Gracias por su atención



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