



Iniciativa de Salud Innovadora

IHI – Innovative Health Initiative JU

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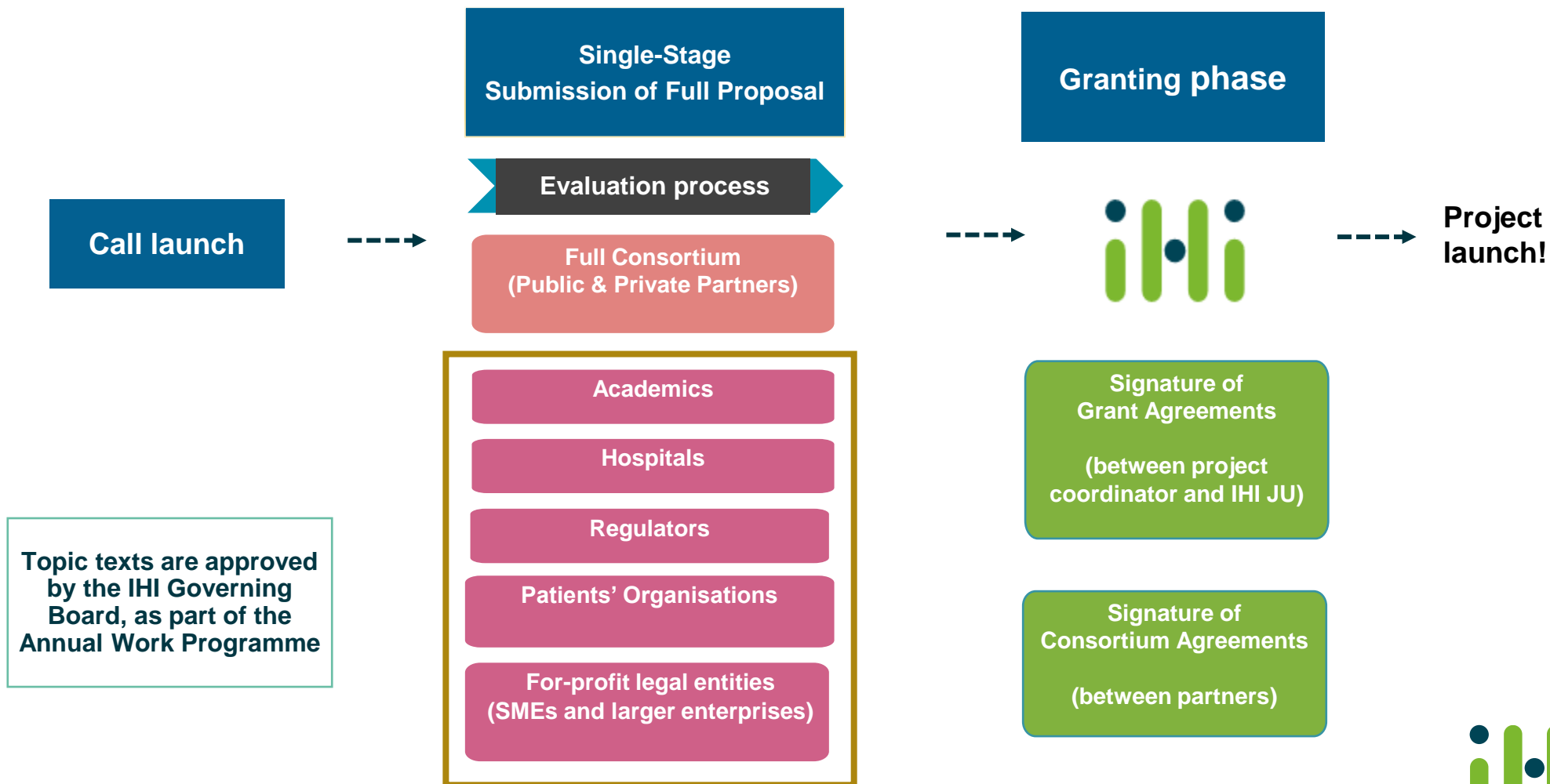
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Tipos de convocatoria

Single-stage / Two-stage

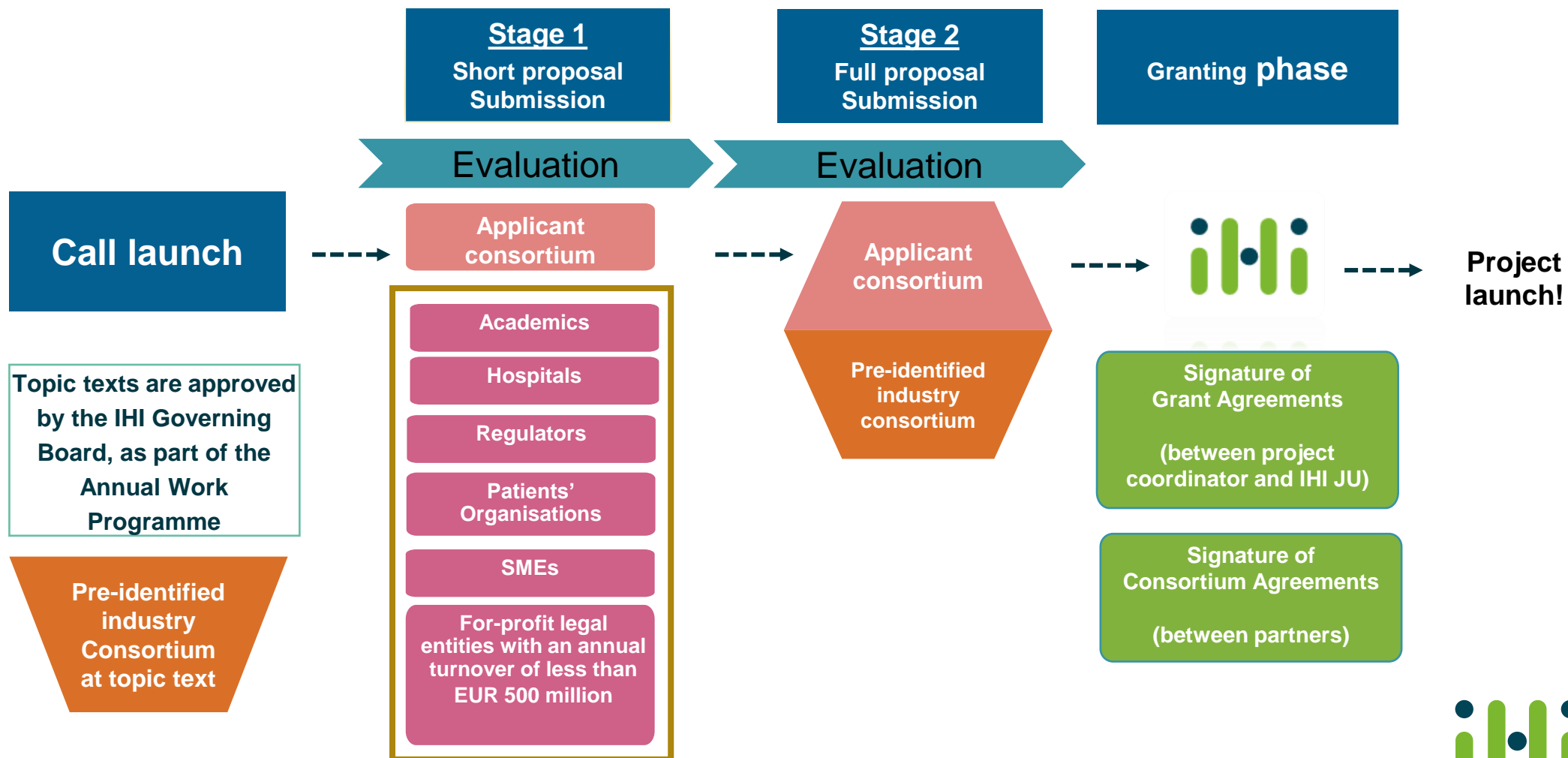


Convocatoria IHI-single-stage



Topic texts are approved by the IHI Governing Board, as part of the Annual Work Programme

Convocatoria IHI-two-stage



#IHICallDays

Call 4



Online event

19 June

16:00-17:30 IHI rules & procedures

20 June

10:00-11:00 Expanding translational knowledge in minipigs

20 June

14:00-15:00 Patient-centric blood sample collection

21 June

10:00-11:00 Inclusive clinical studies

21 June

15:30-16:30 Improving trials for rare diseases

22 June

14:00-15:00 Safe & sustainable by design packaging & devices

22 June

16:00-17:00 Sustainable circular development and manufacture

[Register now](#)



#IHICallDays

Call 5



26 June

15:00-16:30 IHI rules & procedures

27 June

10:00-12:10 Non-animal approaches for health technologies

27 June

14:00-16:10 Theranostics solutions

28 June

14:00-16:10 Stroke management

29 June

10:00-12:10 Synthetic data generation

29 June

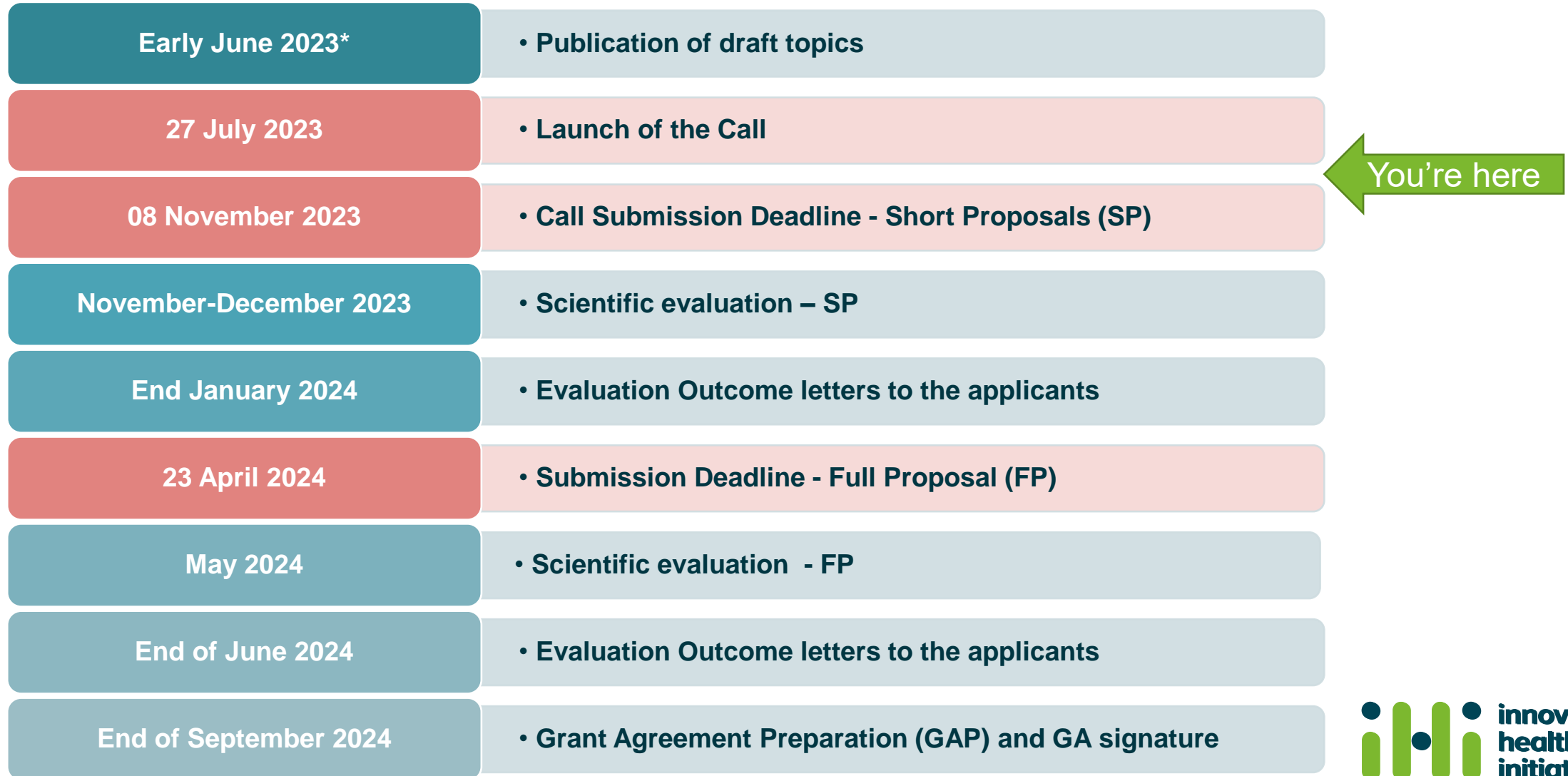
14:00-15:30 The financial part of the proposal

Online event

[Register now](#)

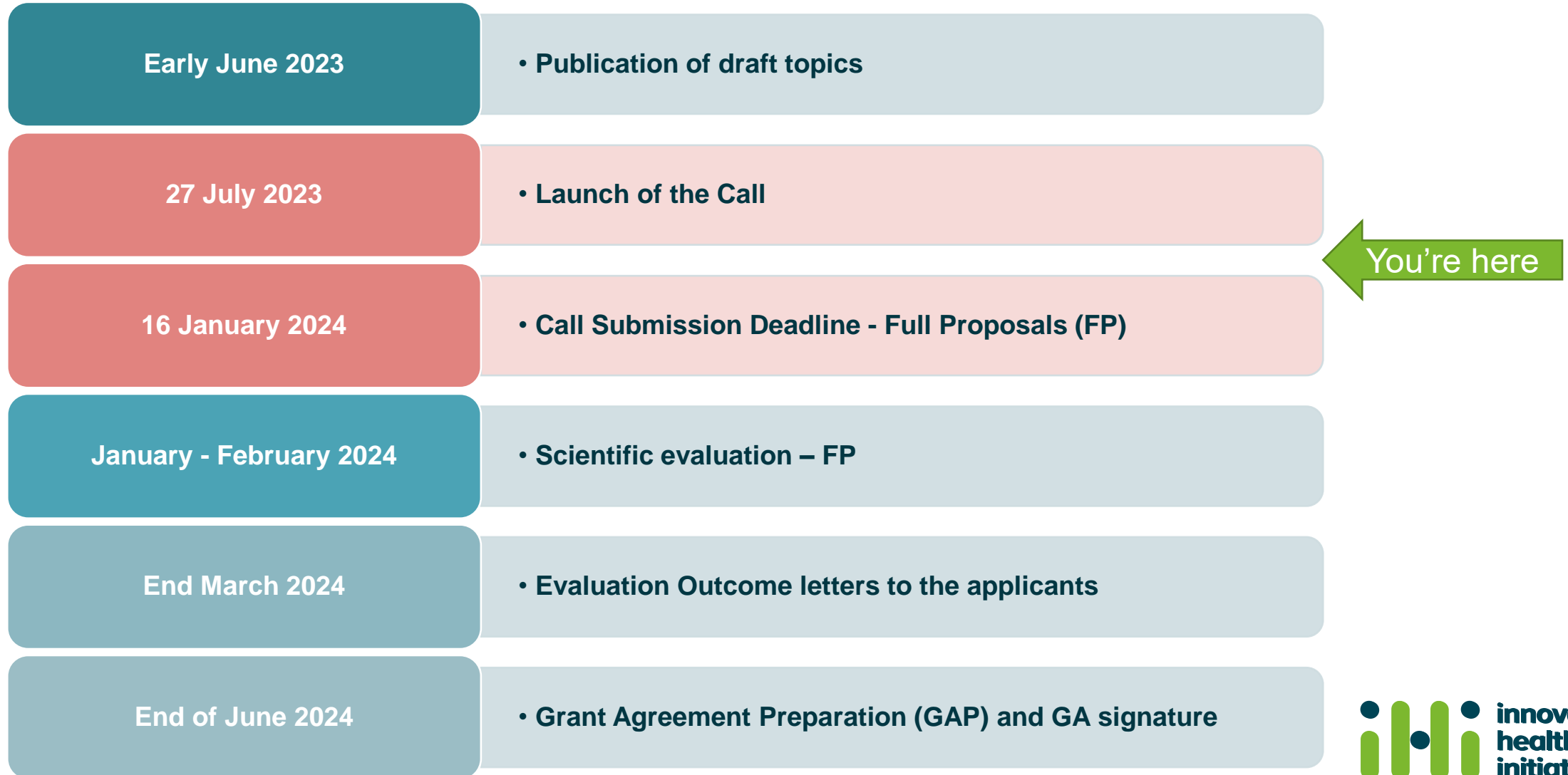


Call 4 - two-stage – Timelines



* Two draft topics (minipigs and microsampling) were already published on October 2022

Call 5 - single stage – Timelines



A hand wearing a purple nitrile glove is shown holding a petri dish. The petri dish contains a yellow agar medium with numerous small, dark, circular bacterial colonies. The background is a light, neutral color with some abstract shapes.

Call 4

Call 5

About this event

On 19-29 June 2023, IHI organised an **online event** focused on **IHI calls 4 and 5**. The event featured:

1. Info sessions on IHI's rules and procedures for both calls
2. An info session on the financial aspects of the single-stage proposal (for call 5 applicants)
3. Sessions on the call topics. All topic sessions will include a presentation of the topic and time for questions and answers. In addition, the webinars on the call 5 topics will include pitches from applicants.

[You can access the platform](#) and hold matchmaking meetings via the platform at any time until the deadline for submitting proposals. [You can access a detailed guide on how to use the matchmaking platform here](#)

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#IHICallDays



- IHI project proposals
Eligibility

Eligibility

Any legal entity, regardless its place of establishment, carrying out work relevant to the Call objectives may be part of applicant consortia

But... not all participating entities are eligible for funding

Consortium Composition

Consortium composition (collaborative projects)

- at least **one independent legal entity** established in a **Member State**, and
- at least **two other independent legal entities** each established either in a **different Member State** or an **Associated Country**.

- UK is treated as an associated country throughout the process - Grant agreements can only be signed if the association has come into force.
 - From Call 06 (published after 1 January 2024), UK-based entities are expected to take the role of BENs in projects as normal entities from an associated country.
- Switzerland is currently not covered by the transitional arrangement.





- IHI project proposals
Eligibility (for Funding)

General eligibility rules for funding



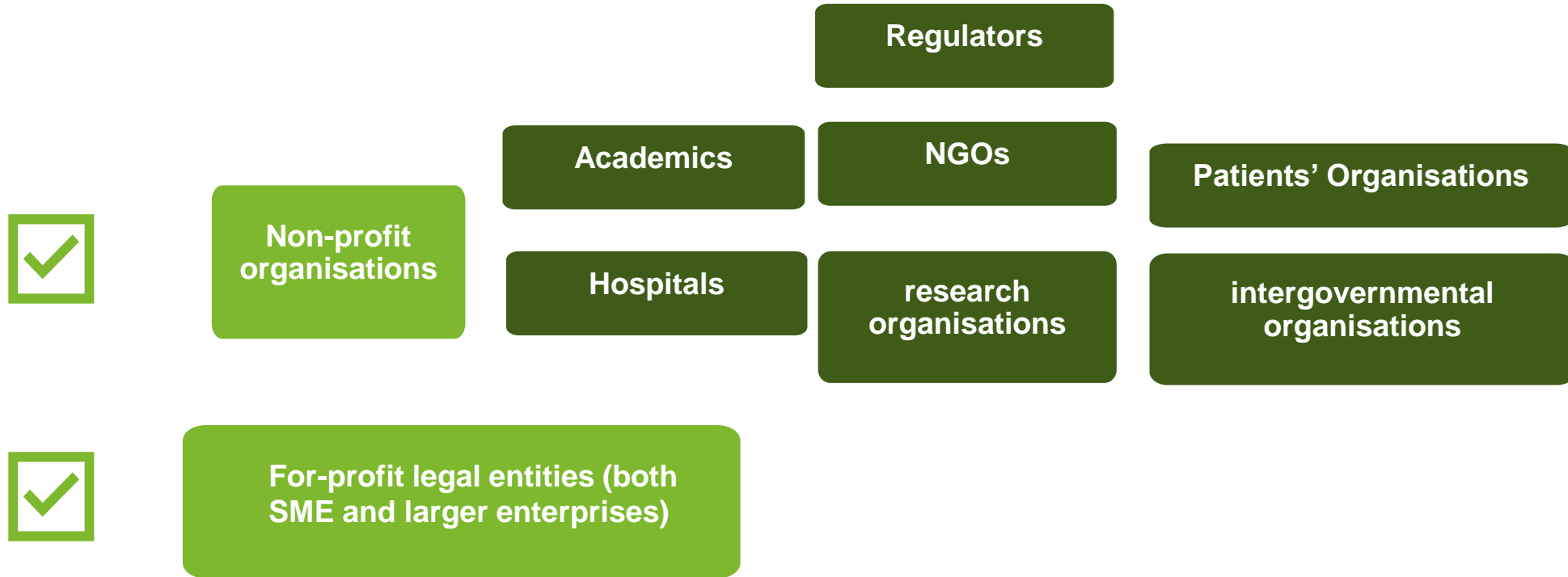
Any legal entity established in a Member State, Associated Country or Low and Middle Income Countries



Third country to Horizon Europe legal entities are normally not eligible for receiving IHI funding

exceptional funding (recommended by expert evaluators) when it considers that their participation is essential

Eligibility for funding in single-stage Calls



Eligibility for funding in two-stage Calls



Non-profit organisations

For-profit legal entities
(SME and larger enterprises with an
annual turnover of less than
EUR 500 million)



NOT eligible for funding:

- Pre-identified Industry Consortium
- For-profit company:

(a) with an annual turnover of EUR 500 million or more

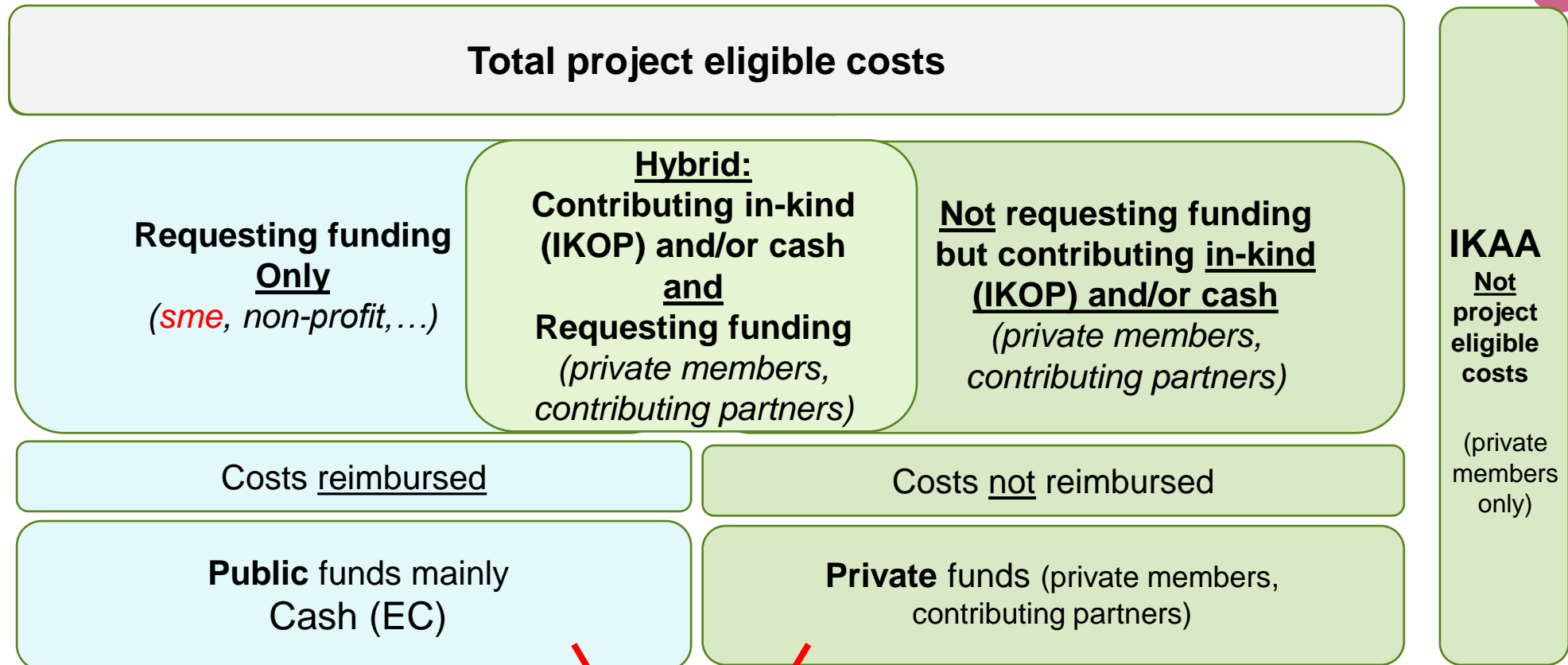
(b) directly or indirectly controlling/or being controlled by for profit legal entities with an annual turnover of EUR 500 million or more.





- IHI project proposals
Financial part

Estimated eligible costs



Co-funding principle



Budget table

For private members and contributing partners

Only for private members

N o.	Name of beneficiary	Co untry	Rol e	Per son nel costs	Subcontr acting costs	Purchase costs			Internally invoiced goods and services (Unit costs)	Indirect costs	Total eligible costs	Funding rate	Maximum EU contribution to eligible costs	Requested EU contribution to eligible costs	Income generated by the action	Financial contributions	IKOP In-kind contributions for operational activities	Non-EU IKOP	Own resources	Total estimated income	IKAA In-kind contributions for additional activities
						Travel and subsistence	Equipment	Other goods, works and services													

Estimated eligible costs

Estimated EU contribution and other sources of financing

IHI's specificities

For all applicants



Simplified budget example: Single-stage

Type of participant	Total eligible costs + IKAA	Funding rate	Reimbursed eligible costs	Contributions (IKOP,FC,IKAA)
'Public partners' (Universities, hospitals, SMEs, patient orgs, regulators..)	15 million	100%	15 million	0
Private members & contributing partners (requested funding = 0)	15 million	100%	0	15 million
Private members & contributing partners (<u>'Hybrid'</u>)	10 million	100%	5 million	5 million
Total	40 million	100%	20 million (50%) Public funds	20 million (50%) Private funds

Simplified budget example: Two-stage

Type of participant	Total eligible costs + IKAA	Funding rate	Reimbursed eligible costs	Contributions (IKOP,FC,IKAA)
'Public partners' (Universities, hospitals, SMEs, patient orgs, regulators..)	20 million	100%	20 million	0
Pre-identified Private members and Contributing partners (not eligible for funding)	20 million	100%	0	20 million
Total	40 million	100%	20 million (50%) Public funds	20 million (50%) Private funds



- Types of contribution and contributors

IHI Private members (PM)

- **Four** Industry trade associations (EFPIA includes Vaccines Europe subgroup)









- “Constituent entities”: members of these associations
- Affiliated entities of the above entities (financial or legal link)



Contributing Partners (CP)

- Any legal entities interested in supporting IHI JU's strategic and specific objectives in their specific areas of research without becoming full members
- Application by submitting a letter to IHI Governing Board (GB) before the call deadline
 - CP to be approved by the IHI GB
- In letter: detail the scope of their commitment to the proposal and JU objectives
- CP in IHI Call 1: AB Science, icoMetrix, Combinostics, LGC Clinical diagnostics,...
- More information on contributing partners on [IHI website](#)

IHI specific budget items

	Private members	Contributing Partners
• IKOP - In-kind contributions to OPerational activities		
• FC - Financial contributions		
• IKAA - In-kind contributions to Additional Activities		

Not Private members or Contributing partners → Cannot contribute IKOP, IKAA and FC

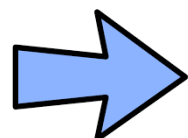


One key threshold

Min 45% contribution

*“Private members, their constituents or affiliated entities and contributing partners must contribute at least **45%** of the action’s eligible costs and costs for additional activities”*

(IKOP + FC + IKAA) ≥ 45% of action’s (eligible costs + IKAA)



[Annex on budget](#) verifies automatically if your proposal reaches 45%

If threshold not reached collectively → (full) proposal NOT evaluated

Min 45% contribution

- Coordinator of the proposal must submit a Declaration (Annex) to confirm that the required 45% contribution will be provided
- 45% can be reached with only one or two contribution type (e.g only with IKOP)
- Only private members and contributing partners can contribute to the 45%

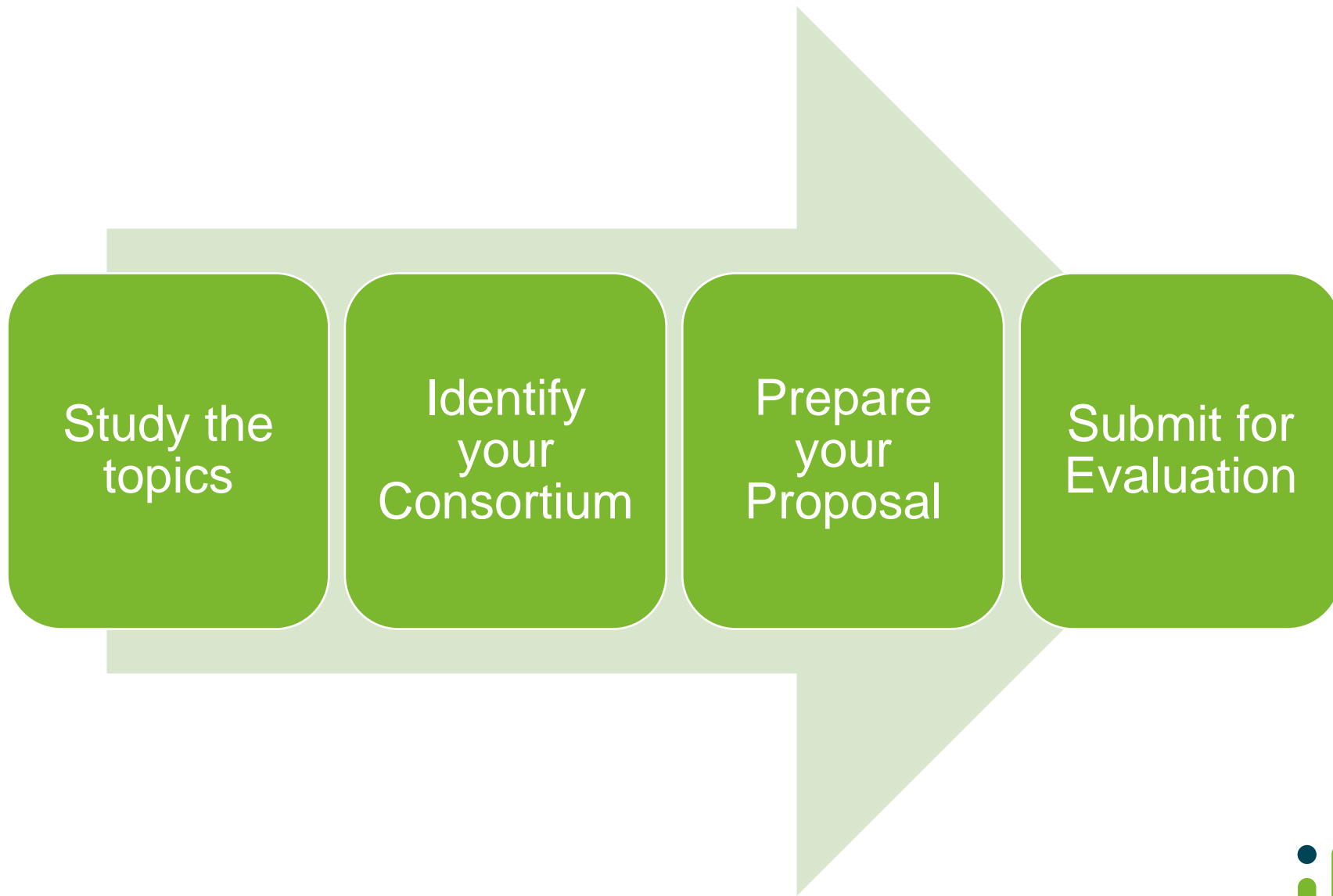
(during GAP, IHI office checks if private members are indeed members and if contributing partners have been approved by the IHI GB).

- 45% must be maintained during project implementation
- Prudent approach recommended for proposals: aim for 50%



Submission and Evaluation





Plantilla de Propuesta - Parts A, B & Annexes

- **Part A** of the proposal is **administrative data** that is entered in webforms through the Funding & Tenders Portal.
- **Part B** of the proposal is the **narrative part** that includes three sections:
 - Excellence
 - Impact
 - Quality and efficiency of the implementation
- **Annexes:**
 - IHI JU annex: Type of participants
 - IHI JU annex: Declaration of in-kind contribution commitment
 - IHI JU annex: In-kind contributions to additional activities (IKAA)
 - Annex: Essential information for clinical studies

➤ **Read instructions** in the proposal template **very carefully**





Standard evaluation process



Evaluation criteria and thresholds

- Each proposal evaluated 'as it is', not as 'what could be'
- Sub-criteria listed in evaluation form

Criteria	Threshold
Excellence	3/5
Impact	3/5
Implementation	3/5
TOTAL	10/15

Evaluation Criteria* (1/2)

- **Excellence**

- Clarity and pertinence of the **project's objectives**, and the extent to which the proposed work is ambitious, and goes beyond the **state of the art**.
- **Soundness of the proposed methodology**, including the underlying concepts, models, assumptions, interdisciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

- **Impact**

- **Credibility of the pathways to achieve the expected outcomes and impacts** specified in the work programme, and the likely scale and significance of the contributions due to the project.
- Suitability and quality of the **measures to maximise expected outcomes and impacts**, as set out in the dissemination and exploitation plan, including communication activities.

Evaluation Criteria* (2/2)

- **Quality and efficiency of the implementation**
 - Quality and effectiveness of the **work plan**, assessment of **risks**, and appropriateness of the effort assigned to **work packages**, and the **resources** overall
 - Capacity and role of each **participant**, and extent to which the **consortium** as a whole brings together the necessary expertise.

- 
- Información adicional

Points to remember

- Read all the call-relevant material: www.ihl.europa.eu (Topic text, Guide for Applicants, IHI FAQs, IHI Proposal Templates, Evaluation Forms, Annotated Model Grant Agreement, SRIA)
- Begin forming your consortium early. Check the IHI website and F&TP. [Check the IHI Call Days website.](#)
- Ensure that all information is explicitly described in order to allow the expert-evaluators to assess against the evaluation subcriteria. **Respect the page limit!**
- Use the **proposal structure / template** - pay special attention on how to complete your ethics self-assessment.
- Plan the **45% In kind contribution and aim at 50%**. This is the responsibility of the entire consortium.
- **Coordinator must be financially viable – check in advance**

Ayudanos!

Participa como Evaluador

- IHI, y todos los programas de investigacion de la UE, buscan continuamente nuevos expertos con experiencia en diferentes areas.
- Crea o actualiza tu perfil en el [Funding and Tenders Portal](#)
- Informanos via experts@ihi.europa.eu, indicando tu numero de identificacion (EX012345*)

Ayudanos a definir nuevas convocatorias

- Puedes propones tus ideas [aqui!](#)

Your contact points

At the IHI Programme Office

General queries: applicants@ihi.europa.eu

IHI Office: infodesk@ihi.europa.eu

State Representative Group (SRG)

The main States Representatives appointed to IHI JU SRG (the main delegates, but not the alternates) are on our website here:

<https://www.ihi.europa.eu/about-ihi/who-we-are/states-representatives-group>.



Gracias! Preguntas?

