# Calendario de la convocatoria 2023...
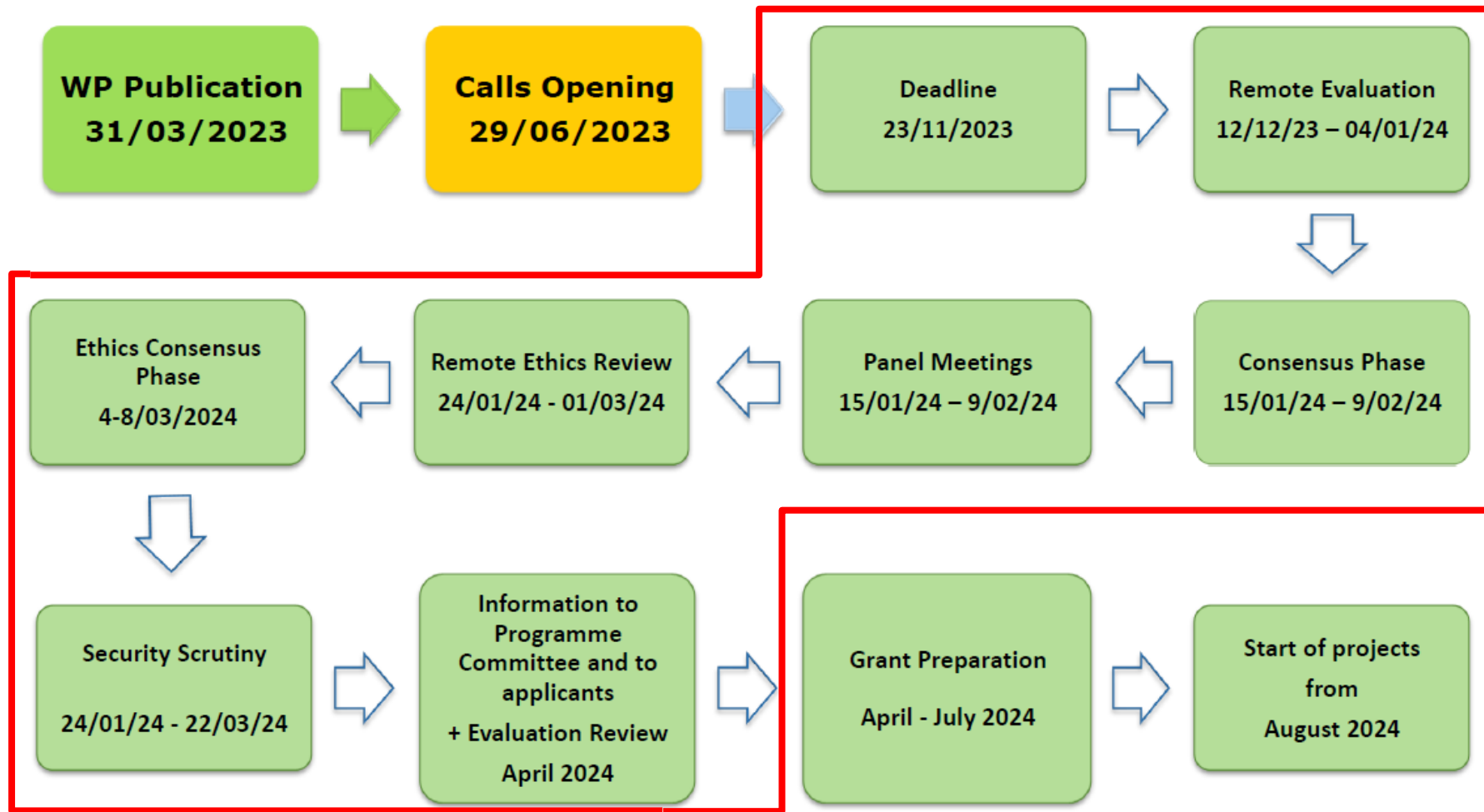
# Primera parte del proceso de evaluación...

**Deadline 23-Nov-2023**

| REA/EC | Evaluators | Evaluators and Rapporteurs | |
|---|---|---|---|
| Eligibility/ Admissibility Check | Individual Evaluation | Consensus Meetings | Panels **Mid Jan-Feb 2024** |

Pre-Ranking

Evaluation Summary Report ESR

REPORT

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# Segundaa parte del proceso de evaluación…



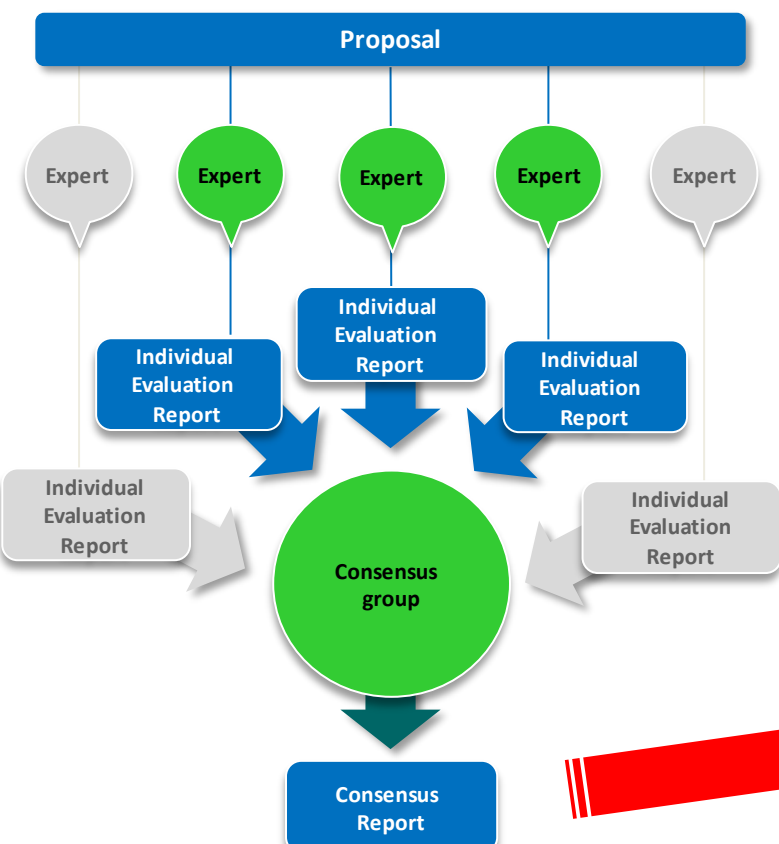| Ethics Experts | EU MS Experts | REA/EC |
|---|---|---|
| Ethics Screening | Security Scrutiny | Finalisation **By end March-2024** |

Ranking · Evaluation Summary Report ESR · REPORT
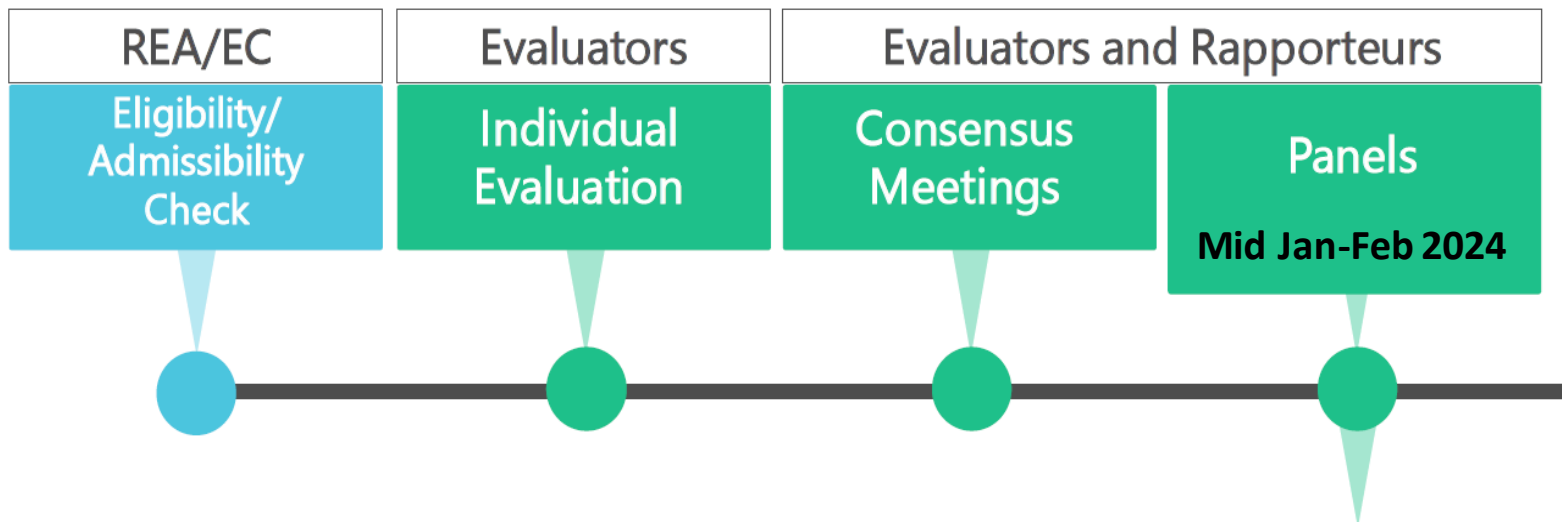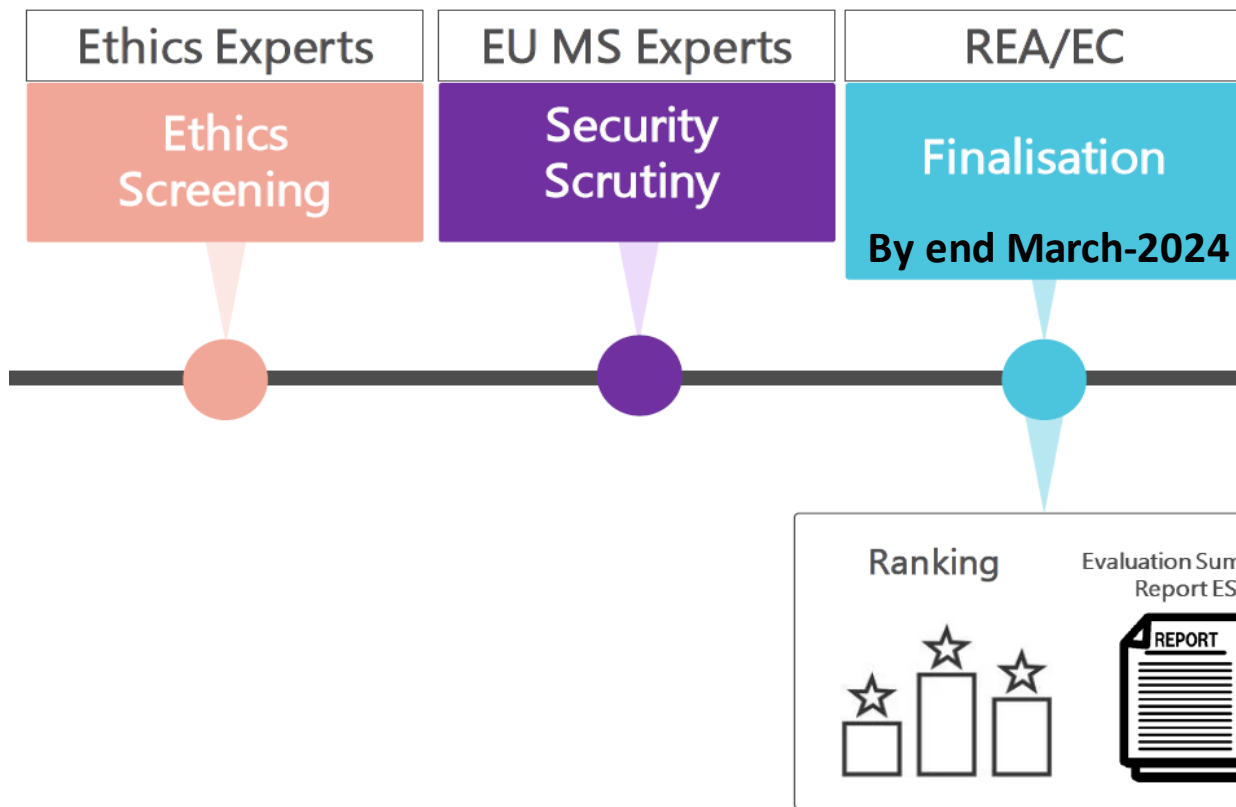
**INPUT:** Sólo para las propuestas para financiar según la "ranking list"

… y a veces, la primera en lista de reserva también!

El resultado final de la evaluación se entrega al Comité de programa hacia Apr-2024 → Comunicación al coordinador también!

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De los comentarios del Consensus Report deriva el informe de resultado de la evaluación que recibe el coordinador (**ESR, Evaluation Summary Report)** → Resumen de cada criterio con justificación (lenguaje "esmerado" y "cuidado") y puntuación. → **Comentarios "formales" orientados a informar sobre dónde se ha fallado… pero difícilmente es información detallada que te garantice una "re-submission exitosa".**

**Antes** de la evaluación, puntualicemos algunos aspectos de los criterios de eligibilidad... que son **excluyentes**!

# ¿Quién lo hace y qué se mira?

**Checked upfront by REA**

Nevertheless, evaluators should flag issues if spotted

**Admissibility – fulfilment of formal criteria**

- ✓ Complete, readable, accessible and printable
- ✓ Plan for exploitation and dissemination of results
- ✓ Proposals must not contain classified information

**Eligibility – fulfilment consortium / topic requirement**

- ✓ Minimum number of partners (dependent on ToA)
- ✓ "In scope" – content of a proposal corresponds (at least partially) to the description of the call or (sub-)topic

**Proposal page limit**

**RIAs and IAs:**
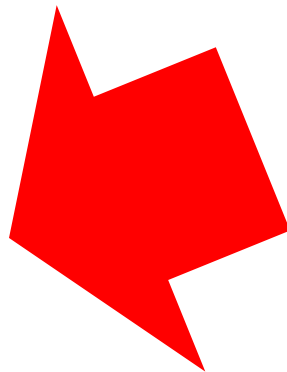
limit for a full application is **45** pages (**50** if the topic is lump sum based)

**CSAs:**

limit for a full application is **30** pages (**33** if the topic is lump sum based)

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**HORIZON-CL3-2023-INFRA-01-01:** Facilitating strategic cooperation to ensure the provision of essential services

| Specific conditions | |
|---|---|
| Expected EU contribution per project | The Commission estimates that an EU contribution of around EUR 5.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts. |
| Indicative budget | The total indicative budget for the topic is EUR 5.00 million. |
| Type of Action | Innovation Actions |
| Eligibility conditions | The conditions are described in General Annex B. The following exceptions apply: <br><br> The following additional eligibility criteria apply: <br><br> This topic requires the active involvement, as beneficiaries, of at least 3 government authorities responsible for resilience on national level and / or for overseeing operators, from at least 3 different EU Member States. For these participants, applicants must fill in the table "Information about security practitioners" in the application form with all the requested information, following the template provided in the submission IT tool. <br><br> If projects use satellite-based earth observation, positioning, navigation and/or related timing data and services, beneficiaries must make use of Copernicus and/or Galileo/EGNOS (other data and services may additionally be used). <br><br> In order to achieve the expected outcomes, and safeguard the Union's strategic assets, interests, autonomy, or security, namely to protect and to preserve the confidentiality of risk assessments and of the vulnerabilities of critical entities of Member States, participation is limited to legal entities established in Member States only. Proposals including entities established in countries other than EU Member States will be ineligible. |
| Technology Readiness Level | Activities are expected to achieve TRL 6-8 by the end of the project – see General Annex B. |

**Atención con el único topic con restricciones de países participantes!**



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# ¿Quién lo hace y qué se mira?

Activities must **focus exclusively on civil applications** and **must not**:

- ✓ aim at human cloning for reproductive purposes;
- ✓ intend to modify the genetic heritage of human beings which could make such changes heritable (except for research relating to cancer treatment of the gonads, which may be financed);
- ✓ intend to create human embryos solely for the purpose of research, or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer;
- ✓ lead to the destruction of human embryos.

**Ciertas entidades del MoD pueden participar (p.e., la UME)** pero siempre con actividades y tecnologías de uso civil!

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## Consortium composition (collaborative projects) for RIA/IA

> - at least **one** independent legal entity established in a **Member State**, and
> - at least **two** other independent legal entities each established either in a different **Member State** or an **Associated Country**

## Consortium composition (collaborative projects) for CSA

> - at least **one** independent legal entity established in a **Member State or in an Associated Country** (HORIZON-CL3-2023-SSRI-01-01)
> - For this type of actions, third Countries and International Organisations are not eligible for (exceptional) funding!

**ATENCIÓN:** Ni las AFFILIATED ENTITIES ni los ASSOCIATED PARTNERS cuentan como "MINIMUM CONSORTIUM".

## Gender Equality Plan

Participants that are <u>public bodies, research organisations or higher education</u> establishments from Members States and Associated countries **must have a gender equality plan**, covering minimum process-related requirements.

➤ A self-declaration will be requested at proposal stage (for all types of participants)

➤ Included in the entity validation process (based on self-declaration)

### A number of specific types of partners (end-user/practitioner)

**The participation of practitioners** (or other categories of participants) is a **mandatory** criterion in Calls <u>FCT, DRS, BM, INFRA, SSRI</u>

<u>Pay attention – where applicable – to the detailed footnotes</u>:

*"In the context of this Destination, 'Police Authorities' means public authorities explicitly designated by national law, or other entities legally mandated by the competent national authority, for the prevention, detection and/or investigation of terrorist offences or other criminal offences, specifically excluding police academies, forensic institutes, training facilities as well as border and customs authorities."*

Y los criterios de evaluación se han simplificado en HE!

# ATENCIÓN: Criterios para RIA & IA en Horizon Europe

## Excellence

✓ Clarity and pertinence of the project's objectives, and the extent to which the proposed work is ambitious, and goes beyond the state of-the-art.

✓ Soundness of the proposed methodology, including the underlying concepts, models, assumptions, inter-disciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, quality of open science practices including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate

## Impact

✓ Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions due to the project.

✓ Suitability and quality of the measures to maximize expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

*NB: New approach to impact: Key Impacts Pathways (KIPs)*

## Quality and efficiency of the implementation

✓ Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall.

✓ Capacity and role of each participant, and extent to which the consortium as a whole brings together the necessary expertise

*NB: The quality of applicants is assessed under 'implementation', rather than as a separate binary assessment of operational capacity. Assessment of management structures has been removed.*

**En cada apartado el evaluador sigue una check-list, además de estos sub-criterios indicados en la "información pública"!**

# ATENCIÓN: Criterios para CSA en Horizon Europe

## Excellence

- ✓ Clarity and pertinence of the project's objectives.

- ✓ Quality of the proposed coordination and/or support measures including soundness of methodology.

## Impact

- ✓ Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions due to the project.

- ✓ Suitability and quality of the measures to maximize expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

*NB: New approach to impact: Key Impacts Pathways (KIPs)*

## Quality and efficiency of the implementation

- ✓ Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall.

- ✓ Capacity and role of each participant, and extent to which the consortium as a whole brings together the necessary expertise

*NB: The quality of applicants is assessed under 'implementation', rather than as a separate binary assessment of operational capacity. Assessment of management structures has been removed.*

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# Interpretación de las puntuaciones

**0** The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.

**1** **Poor.** The criterion is inadequately addressed, or there are serious inherent weaknesses.

**2** **Fair.** The proposal broadly addresses the criterion, but there are significant weaknesses.

**3** **Good.** The proposal addresses the criterion well, but a number of shortcomings are present.

**4** **Very Good.** The proposal addresses the criterion very well, but a small number of shortcomings are present.

**5** **Excellent.** The proposal successfully addresses all relevant aspects of the criterion. Minor Shortcomings.

**The threshold for the individual criteria is 3. The overall threshold, applying to the sum of the 3 individual scores, is 10 points.**

# Algunas novedades… que no aplican a CL3 por el momento!

## Proposal submission and evaluation – main 'innovations' proposed in HE

- **Proposal template**: Less information requested (in line with criteria); reference to external sources where possible (eg. Researchers' ID); substantial reduction in maximum length;

- Anonymised first stage proposals ('**blind evaluation**'): one or more pilots in early calls of HE; → **Se ha implementado en algunos topics del Cluster-1 Health…**

- '**Right to react**' (rebuttal) pilot: greater transparency and more detailed feedback;→ **Se ha llegado a implementar en la call-2021 de EIC-Pathfinder-OPEN…**

- **Portfolio-based calls** (esp. missions, EIC pathfinder): develop new modalities (e.g. evaluation of intrinsic quality, then invoke portfolio considerations, to be spelled out in WP); → **Se ha llegado a implementar en la call-2021 de EIC-Pathfinder-CHALLENGES…**

# Evaluation criteria

*The draft Horizon Europe rules set the same three award criteria we have in Horizon 2020: 'Excellence', 'Impact' and 'Quality and efficiency of the implementation'.* **Excellence** *only for ERC,*

These will be spelled out, taking into account the lessons learnt:

- Simplify and reduce the number of 'aspects to be taken into account', where possible, ensuring that the same aspect is not assessed twice;

- New approach to impact: Key Impacts Pathways (KIPs)

- Include an assessment of the quality of applicants under 'implementation', rather than as a separate binary assessment of operational capacity;

- Remove assessment of management structures.

¡ATENCIÓN!

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# Finalmente…¿qué pasa en caso de empate?

For proposals with the same score within a single budget envelope (with the exception of the first stage of two-stage submissions) a method to establish the **priority order** will be determined, taking into consideration the objectives of the specific topic. In the absence of spec arrangements in the specific call conditions, the following method will apply:

For each group of proposals with the same score, starting with the group achieving the highest score and continuing in descending order:

1) Proposals that address aspects of the call that have not otherwise been covered by more highly ranked proposals will be considered have the highest priority.

2) The proposals identified under 1), if any, will themselves be prioritised according to the scores they have been awarded for 'Excellence'. When these scores are equal, priority will be based on scores for 'Impact'. In the case of 'Innovation actions', priority will be given to the score for 'Impact', followed by that for 'Excellence'.

3) If necessary, the gender balance among the personnel named in the proposal who will be primarily responsible for carrying out the research and/or innovation activities, and who are included in the researchers table in the proposal, will be used as a factor for prioritisation.

4) If necessary, any further prioritisation will be based on geographical diversity, defined as the number of Member States or Associated Countries represented in the proposal, not otherwise receiving funds from projects higher up the ranking list (and if equal in number, then by budget).

5) If a distinction still cannot be made, the panel may decide to further prioritise by considering other factors related to the objectives of the call, or to Horizon Europe in general. These may include, for example, enhancing the quality of the project portfolio through synergies between projects or, where relevant and feasible, involving SMEs. These factors will be documented in the panel report.

6) The method described in 1), 2), 3) and 4) will then be applied to the remaining equally ranked proposals in the group.

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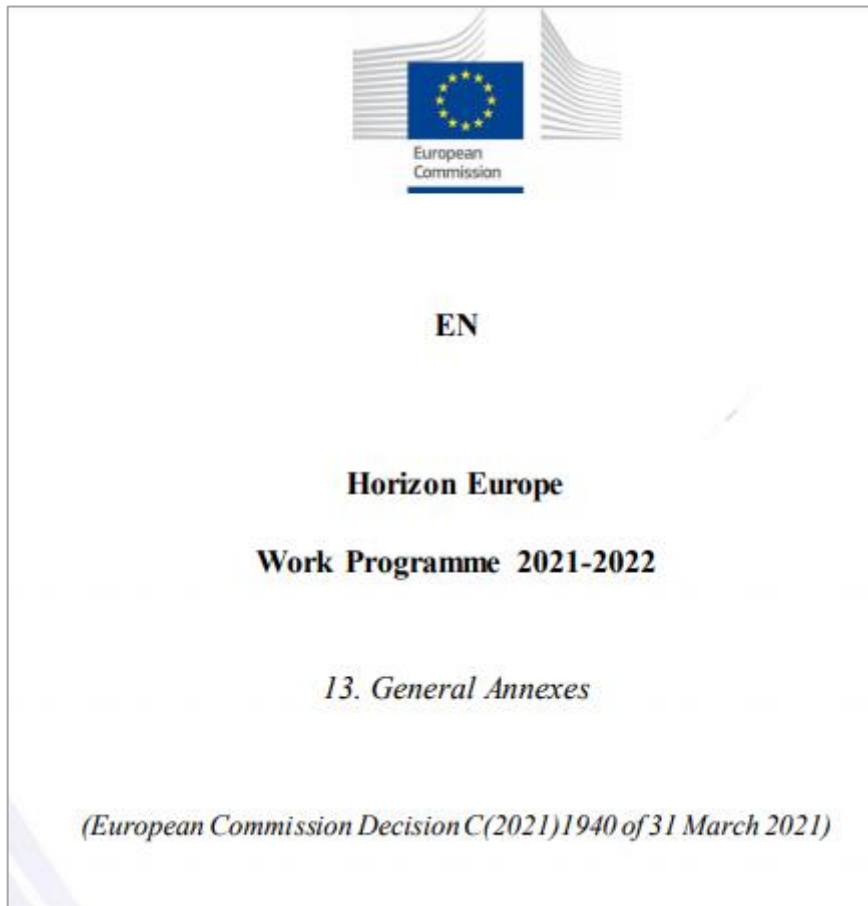
# Sobre aspectos generales de los topics de la call-2023...

## Gender dimension in R&I content

Addressing the gender dimension in research and innovation entails taking into account sex and gender in the whole research & innovation process

## Social Sciences and Humanities

Assessing the effective **contribution of social science and humanities disciplines** and expertise as part of the scientific methodology of the project.

## International Cooperation

To achieve the right balance between the need to exchange with key international partners (including with relevant international organisations) while at the same time ensuring the protection of the EU security interest

# Gender dimension in R&I content

Addressing the gender dimension in research and innovation entails taking into account sex and gender in the whole research & innovation process

The integration of the gender dimension into R&I content is **mandatory**, unless it is explicitly mentioned in the topic description

Topics flagged as <u>not gender relevant</u>

FCT-01-01

SSRI-01-01

INFRA-01-01

SSRI-01-02

# Social Sciences and Humanities (SSH)

Assessing the effective **contribution of social science and humanities disciplines** and expertise as part of the scientific methodology of the project.

When the integration of SSH is required, **applicants have to show the roles of these disciplines or provide a justification if they consider that it is not relevant for their project**.

A proposal without a sufficient contribution/integration of SSH research and competences will receive a lower evaluation score.
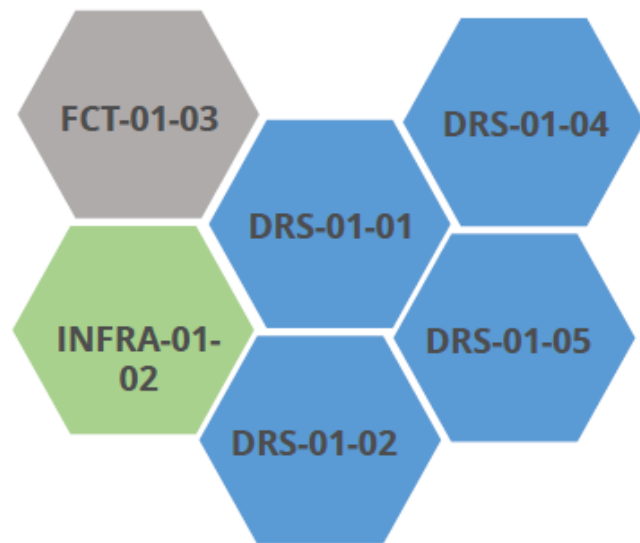
**CL3 Topics flagged as SSH relevant**
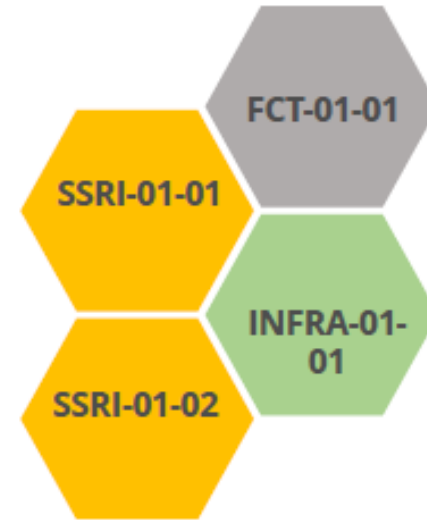
# International Cooperation

To achieve the right balance between the need to exchange with key international partners (including with relevant international organisations) while at the same time ensuring the protection of the EU security interest

Cooperation can include sharing knowledge, experiences, expertise and mutual learning

International cooperation is explicitly encouraged only where appropriate and specifically supporting ongoing collaborative activities

**Topics where International Cooperation is envisaged**

DRS-01-04

DRS-01-01

DRS-01-05

DRS-01-02

# Lump Sum topics

Lump sum evaluation and grant agreement follow standard approach with the same:

- ✓ Evaluation criteria
- ✓ Pre-financing and payment scheme
- ✓ Reporting periods and technical reporting, **though focusing on completion of work packages**

One lump sum share is fixed in the grant agreement for each work package:

Work package completed payment

- Payments do not depend on a successful outcome, but on the completion of activities
- Work packages can be modified through amendments (e.g. to take into account new scientific developments)

**CL3 Topics flagged for Lumps SUM**

SSRI-01-01

BM-01-01

INFRA-01-01

BM-01-02

SSRI-01-02

INFRA-01-02

BM-01-03

BM-01-04
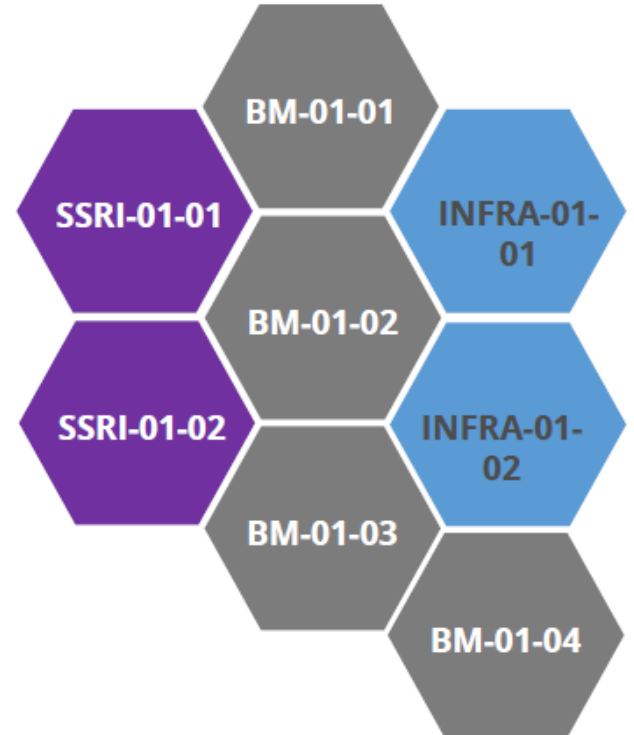
# Inteligencia Artificial

**Trustworthy Artificial Intelligence**

Due diligence is required regarding the trustworthiness of all AI-based systems/ techniques used or developed in projects funded under Horizon Europe.

Under Horizon Europe, the **technical robustness*** of the proposed AI based systems must be evaluated under the **excellence** criterion.

(*) Technical robustness refers to technical aspects of AI systems and development, including resilience to attack and security, fullback p general safety, accuracy, reliability and reproducibility.

AI-based systems or techniques should be, or be developed to become:

- **Technically robust**, **accurate and reproducible**, and able to deal with and inform about possible failures, inaccuracies and erro proportionate to the assessed risk posed by the AI-based system or technique.
- **Socially robust**, in that they duly consider the context and environment in which they operate.
- **Reliable and function as intended**, minimizing unintentional and unexpected harm, preventing unacceptable harm and safeg the physical and mental integrity of humans.
- Able to provide a suitable explanation of its **decision-making process**, whenever an AI-based system can have a significant im people's lives. .

file:///F:/W72/CCAAs/2021/03Jun_EVALUATION/ai_hleg_ethics_guidelines_for_trustworthy_ai-en_87F84A41-A6E8-F38C-BFF661481B40077B_60419.pdf

**INDEPENDENT HIGH-LEVEL EXPERT GROUP ON ARTIFICIAL INTELLIGENCE**
SET UP BY THE EUROPEAN COMMISSION

AI

**ETHICS GUIDELINES FOR TRUSTWORTHY AI**

# Few words about GENDER at proposal level...

# Gender Equality Strategy 2020-2025

The EU Gender Equality Strategy delivers on the von der Leyen Commission's commitment to achieving a Union of Equality. The Strategy presents policy objectives and actions to make significant progress by 2025 **towards a gender-equal Europe**. The goal is a Union where women and men, girls and boys, in all their diversity, are **free** to pursue their chosen path in life, have equal opportunities to **thrive**, and can equally participate in and **lead** our European society.

The **key objectives** are ending gender-based violence; challenging gender stereotypes; closing gender gaps in the labour market; achieving equal participation across different sectors of the economy; addressing the gender pay and pension gaps; closing the gender care gap and achieving gender balance in decision-making and in politics. The Strategy pursues a dual approach of gender **mainstreaming** combined with targeted actions, and **intersectionality** is a horizontal principle for its implementation. While the Strategy focuses on actions within the EU, it is coherent with the EU's external policy on gender equality and women's empowerment.

As one of the first deliverables of the Strategy, the Commission proposed binding pay transparency measures on 4 March 2021.

On 8 March 2022, the European Commission adopted a new EU-wide proposal for a directive to combat violence against women and domestic violence. The proposal seeks to introduce targeted minimum rules on the rights of this group of crime victims, and to criminalise the most severe forms of violence against women and of cyber violence.

A milestone achievement is the Directive on gender balance in corporate boards, which seeks to improve the gender balance in corporate decision-making positions in the EU largest listed companies. After 10 years of negotiations, the Directive has been finally adopted on 22 November 2022.

The European Commission launched a campaign to challenge gender stereotypes, on 8 March 2023. This EU-wide campaign tackles gender stereotypes affecting both men and women in different spheres of life, including career choices, sharing care responsibilities and decision-making. It is a concrete deliverable of the Gender Equality Strategy 2020-2025.

European Commission

## Striving for a Union of Equality
The Gender Equality Strategy 2020-2025

March 2020
#UnionOfEquality

"Gender equality is a core principle of the European Union, but it is not yet a reality. In business, politics and society as a whole, we can only reach our full potential if we use all of our talent and diversity. Using only half of the population, half of the ideas or half of the energy is not good enough."

President Ursula von der Leyen

Striving for a Union of Equality means a Union where all women and men, girls and boys in all their diversity – are equal. This is a joint undertaking by all stakeholders. The **Gender Equality Strategy 2020-2025** sets out a vision, policy objectives and actions to make concrete progress on gender equality in Europe and towards achieving the Sustainable Development Goals.

**IN A GENDER EQUAL EUROPE...**

# Gender equality: a strengthened crosscutting priority in Horizon Europe

- **Article 7(6)** and **Recital 53** of Framework Regulation
- **Articles 2(2)(e)** and **6(3)(e)** of the Specific Programme

Gender Equality Plan (GEP): **Eligibility Criterion**

- Detailed **Horizon Europe Guidance on Gender Equality Plans** published 28/09/2021
- Dedicated webinar on 23/06/2022: **The Gender Equality Plan eligibility criterion in Horizon Europe: Who is concerned? How to comply with it?**

Integration of the gender dimension in R&I content: **Award Criteria**

Gender balance: **Ranking Criteria** – for *ex aequo* proposals

https://research-and-innovation.ec.europa.eu/strategy/strategy-2020-2024/democracy-and-rights/gender-equality-research-and-innovation_en

# Eligibility Criterion

## Gender Equality Plan (applicable from calls with deadlines in 2022 onwards)

Participants (as Beneficiaries and Affiliated Entities) that are **public bodies, research organisations** or **higher education establishments**\* from a Member State or an Associated Country **must have a Gender Equality Plan (GEP)** in place, fulfilling **mandatory requirements**

- A self-declaration is requested at proposal stage (based on a GEP questionnaire in the Participant Register to be filled by the LEAR)

- Included in the legal entity validation process

\* Private-for-profit entities (incl. SMEs), NGOs, CSOs, as well any types of organisations from non-associated third countries, are exempted for the criterion. See legal categories definitions in the Funding & Tenders Portal

# **Award Criteria:** Integration of the gender dimension

## **EXCELLENCE criterion for RIAs/IAs**

✓ Clarity and pertinence of the **project's objectives**, and the extent to which the proposed work is ambitious, and goes beyond the state-of-the-art.

✓ **Soundness of the proposed methodology**, including the underlying concepts, models, assumptions, inter-disciplinary approaches, **appropriate consideration of the gender dimension in research and innovation content**, and the quality of open science practices including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.

# Sex and Gender

**SEX** refers to the **biological attributes** (functions deriving from chromosomal complement, reproductive organs, or specific hormones or environmental factors that affect the expression of phenotypic traits in sexually reproducing organisms) that distinguish male, female, and intersex (in humans) or hermaphrodite (non-human animals). In engineering and product design research, sex includes anatomical and physiological characteristics that may affect the design of products, systems and processes.

**GENDER** refers to socio-cultural **norms**, **identities** and **relations** that, together, shape and sanction "feminine" and "masculine" behaviours, structure societies and organisations, and also affect products, technologies, environments, and knowledges. Gender is complex and changes in time and place.

**INTERSECTIONAL FACTORS**, such as racial or ethnic origin, age, socioeconomic status, sexual orientation, or disability, combine with sex and gender to shape a person's/group's experience and social opportunities, thereby influencing the form of discrimination and inequality they encounter.

# Integration of the gender dimension into R&I content: Tips

➢ **Check whether and to what extent:**

    ➢ the sex and/or gender of persons under study in the action

    ➢ the sex and/or gender of individuals affected by the implementation of the research and innovation results (e.g. end users)

    ➢ the sex of animals under study

    ➢ or with regard to samples taken from humans or animals

  **is integrated in your proposal (methods, objectives, work packages, deliverables, etc.)**

➢ **Are data collection methodologies, questionnaires, surveys, focus groups, etc. designed to unravel potentially relevant sex and/or gender differences, included in the proposal and deliverables?**

➢ **Have you checked the existence of literature on sex/gender analysis in your proposal's R&I field?**

**See also:**

- **This Gendered Innovations [website](#)**
- **This [introductory webinar by H2020 project GE Academy](#) and [additional more field-specific videos](#)**
- **This [introductory video produced by H2020 project ACT](#)**
- **This [GEAR tool](#)**

# Ranking Criteria for ex aequo proposals

- **Method to establish the priority order**

For each group of proposals with the same score, starting with the group achieving the highest score and continuing in descending order:

1. Aspects of the call that have not otherwise been covered by more highly ranked proposals

2. Scores on 'Excellence' then on 'Impact' (for IAs, scores on 'Impact' then 'Excellence')

3. **If necessary, the gender balance among the researchers named in the researchers table in the proposal, will be used as a factor for prioritisation**

4. Geographical diversity

**Researchers can declare their gender along** ~~the~~ **categories: woman, man, non-binary**

### Researchers involved in the proposal

Include only the researchers involved in the proposal, (see below definition of 'researcher'). You do not need to include in the table the identity of other persons involved in the proposal who are not researchers.

'Researchers are professionals engaged in the conception or creation of new knowledge. They conduct research and improve or develop concepts, theories, models, techniques instrumentation, software or operational methods. (Frascati Manual 2015)'

Include also person in charge of the proposal if a researcher.

| Title | First Name | Last Name | **Gender** | Nationality | E-mail | Career stage[1] | Role of researcher (in the project) | Reference Identifier | Type of identifier |
|-------|-----------|-----------|------------|-------------|--------|-----------------|-------------------------------------|----------------------|--------------------|
| | | | [Woman]<br><br>[Man]<br><br>[Non-binary] | | | [Category A – Top grade researcher]<br><br>[Category B – Senior researcher]<br><br>[Category C – Recognised researcher]<br><br>[Category D – First stage researcher] | [Leading]<br><br>[Team member] | | [ORCID]<br><br>[Researcher Id]<br><br>[Other - specify] |

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Finally, some tips about including SSH aspects in your proposals...

'The Programme shall ensure a multidisciplinary approach and shall, where appropriate, provide for the integration of Social Sciences and Humanities - SSH across all clusters and activities developed under the Programme, including specific calls for proposals on SSH related topics.'

'To increase collaboration links in European R&I and across sectors and disciplines, including social sciences and humanities'



HORIZON EUROPE PROPOSAL EVALUATION

**HOW TO EVALUATE SOCIAL SCIENCES AND HUMANITIES**

https://www.youtube.com/watch?v=vjRpTD-ZCzs

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## 2. Calls for proposals - Work programme

- Topics flagged for SSH during the co-creation and drafting process
- Standard sentence included in the Scope of the SSH flagged topics:

*'This topic requires the effective contribution of social sciences and humanities (SSH) disciplines and the involvement of SSH experts, institutions as well as the inclusion of relevant SSH expertise, in order to produce meaningful and significant effects enhancing the societal impact of the related research activities.'*

## 3. Proposal submission

- SSH aspects in submission forms: from participants to excellence, implementation and impact sections
- Freedom of the applicants: to consider that **an SSH component** is **not relevant** for their proposal - **Need to justify why not**. Experts evaluators will assess the justification provided

## 4. Proposal evaluation

- SSH is a requirement embedded in the award criteria that will be assessed
- Experts evaluators to assess specifically the SSH part of the proposal, taking into account how applicants have integrated the SSH dimension in the proposal

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**Topic example call-2023**

**HORIZON-CL3-2023-DRS-01-01:** Improving social and societal preparedness for disaster response and health emergencies

| Specific conditions | |
|---|---|
| Expected EU contribution per project | The Commission estimates that an EU contribution of around EUR 4.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts. |
| Indicative budget | The total indicative budget for the topic is EUR 8.00 million. |
| Type of Action | Research and Innovation Actions |
| Eligibility conditions | The conditions are described in General Annex B. The following exceptions apply:<br><br>The following additional eligibility criteria apply:<br><br>This topic requires the active involvement, as beneficiaries, of at least 3 organisations from at least 3 different EU Member States or Associated Countries as follows: (i) at least one organisation representing citizens or local communities; (ii) at least one organisation representing practitioners (first and/or second responders); and (iii) at least one organisation representing local or regional authorities. For participants with practitioner status, applicants must fill in the table "Information about security practitioners" in the application form with all the requested information, following the template provided in the submission IT tool.<br><br>If projects use satellite-based earth observation, positioning, navigation and/or related timing data and services, beneficiaries must make use of Copernicus and/or Galileo/EGNOS (other data and services may additionally be used). |



This topic requires the effective contribution of SSH disciplines and the involvement of SSH as well as gender experts, institutions as well as the inclusion of relevant SSH and gender expertise, in order to produce meaningful and significant effects enhancing th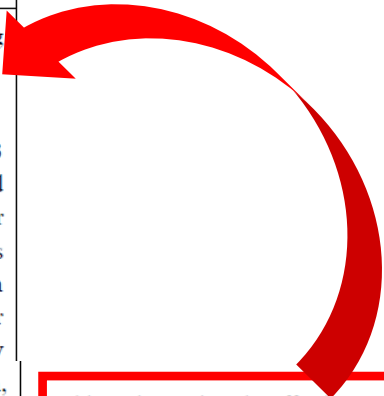e societal impact of the related research activities. The involvement of citizens, including citizen volunteers in demonstrations of tools and technologies, civil society and other societal stakeholders in co-design and co-creation should be promoted. In order to achieve the expected outcomes, international cooperation is encouraged.

# SSH & HE, en particular... dónde se tiene en cuenta?

## Where to describe SSH in the proposal?

| Instrument | Application forms Part A | Application forms Part B |
|---|---|---|
| RIA stage1 | Not applicable | 1. Excellence<br>2. Impact |
| RIA | 2. Participants | 1. Excellence<br>2. Impact<br>3. Implementation |
| IA stage 1 | Not applicable | 1. Excellence<br>2. Impact |
| IA | 2. Participants | 1. Excellence<br>2. Impact<br>3. Implementation |
| CSA stage 1 | Not applicable | 1. Excellence<br>2. Impact |
| CSA | 2. Participants | 1. Excellence<br>2. Impact<br>3. Implementation |

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# Material útil para cada parte de la propuesta...

# Checklist que siguen los evaluadores…

**CRITERION 1 - EXCELLENCE**

**1.2 Soundness of the proposed methodology, including the underlying concepts, models, assumptions, inter-disciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.**

The paragraph must always start with a general assessment introduced by the following opening statement: *"The soundness of the proposed methodology is poor / fair / good / very good / excellent".*

Then:

1.2.1.   Assess if the methodology is effective to reach the proposed objectives.

1.2.2.   Assess if the underlying concepts, models and assumptions, are credible and convincing, and the main challenges are identified and addressed.

1.2.3.   Assess how different disciplines are brought together and integrated in order to reach the proposed objectives.

1.2.4.   Where relevant for the specific topic (please check with the CINEA Project Officer):

- assess the role of social sciences and humanities;
- assess how the gender dimension (i.e. gender analysis, including a gender equality plan, if applicable) is taken into account;
- assess whether open science practices are appropriately implemented as an integral part of the proposed methodology;
- assess how the data / research outputs will be managed in line with the FAIR principle[2];
- assess whether engagement of citizens, civil society and end users is sufficiently considered.
- if the proposed activities involve the use and/or development of Artificial Intelligence (AI) systems and/or techniques, assess its technical robustness[3] and check the appropriate box of the report.

NOTE: this is not about composition of the consortium, which is addressed under subcriterion 3.2.
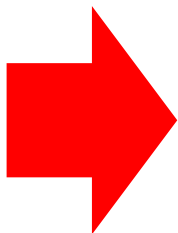
# Checklist para los evaluadores

CRITERION 2 – IMPACT

**2.1 Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions from the project.**

The paragraph must always start with a general assessment introduced by the following opening statement: "The credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions from the proposed project to the expected outcomes and wider impacts mentioned in the work programme are *poor / fair / good / very good / excellent*".

Then:

2.1.1.  Assess whether the expected outcomes specified in the work programme are credibly addressed. If not, clarify which outcomes are not addressed or are only partially addressed.

2.1.2. Assess the scale and significance[4] of the proposed project's outputs, that should contribute to the achievement of the expected outcomes:
- Are the outputs significant and, if applicable, quantified? Are the pathways credibly described?
- Are the baselines, benchmarks and assumptions necessary to assess the progress towards the expected outcomes appropriate and clearly stated?
- If explicitly mentioned in the topic (please check with the REA Project Officer), is the minimum requirement (e.g. specific European emission standard) achieved?

2.1.3. Assess whether the impacts, as specified in the respective destination in the work programme, are credibly addressed.

2.1.4. Assess whether potential barriers, that may determine if the desired outcomes and impacts are achieved, are appropriately identified. If potential barriers are identified, are appropriate mitigation measures described?

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## 2.2 Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.

The paragraph must always start with a general assessment introduced by the following opening statement: "The suitability and quality of the communication, dissemination and exploitation measures to maximise expected outcomes and impacts are *poor / fair / good / very good / excellent*".

Then:

2.2.1   Assess the communication, dissemination and exploitation measures[5] that are planned (a separate assessment for each of the three aspects should be realized). For instance, are the communication/dissemination/exploitation measures proportionate to the scale of the proposal? Are these measures relevant and in line with the ambitions and expected impact of the proposal? NOTE: A plan for communication, dissemination and exploitation must always be included in the proposal.

2.2.2   Assess whether the target group(s) for communication/dissemination are appropriately described/outlined (e.g. scientific community, end users, financial actors, public at large, etc.) and if the communication/dissemination measures proposed are tailored to their needs.

2.2.3   Assess the strategy for the management of intellectual property, foreseen protection measures, such as patents, design rights, copyright, trade secrets, etc., and how these will be used to support exploitation.

2.2.4   Where relevant, assess how the proposal intends to provide feedback to policy that will contribute to designing, monitoring, reviewing and adjusting existing policy measures or shaping and supporting the implementation of new policy initiatives and decisions.

# Para finalizar...

# Información de interés

**Webinars European Commission**

- How to prepare a successful proposal in Horizon Europe (24 March 2021)
- A successful proposal for Horizon Europe (21 April 2021)
- Exploitation, dissemination and communication, including business plan (4 May 2021)
- The Funding & tenders Portal for beginners (27 May 2021)
- Dissemination, Communication and Exploitation (9 June 2021)
- https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/videos

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Los Lunes de SOST-Bruselas (Sesión 1): Cómo completar correctamente la Parte A en propuestas de HE

1,2 K visualizaciones • hace 1 año

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La Dirección de Programas de la UE y Cooperación Tecnología a través de la oficina del CDTI en Bruselas (SOST), organiza una ...



Los Lunes de SOST-Bruselas (Sesión 2) - 7 de marzo de 2022

919 visualizaciones • hace 1 año

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Sección 1 - Excelencia: maneras de abordar el problema/concepto, metodología, estado del arte y ambición del proyecto La ...